

# Inflation through hidden Yukawa couplings in the extra dimension

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Based on work (in progress ) with Y. Tatsuta (Waseda).

What we want to focus on

An inflation model     $\leftrightarrow$     Symmetries

Planck unit in this talk

$$M_{\text{Pl}} \simeq 2.4 \times 10^{18} \text{ GeV} \equiv 1.$$

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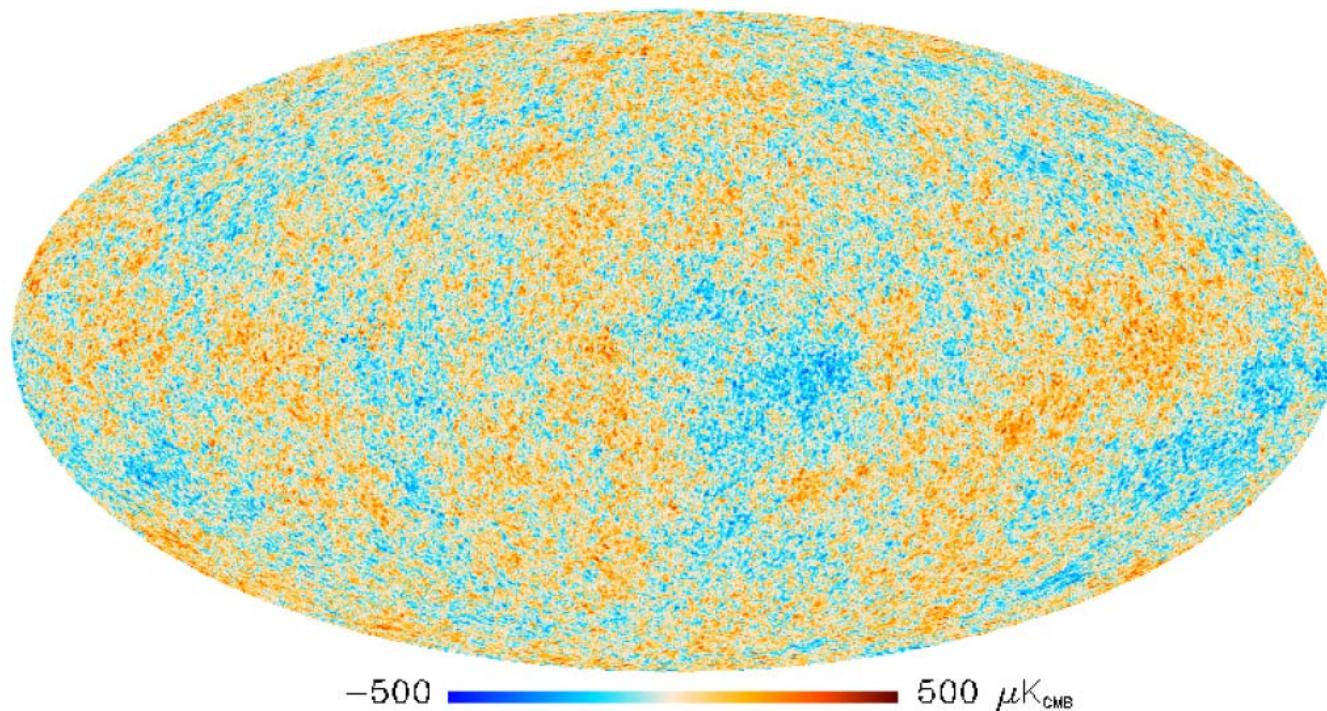
# 1. Introduction: Review of inflation

# Cosmic Microwave Background (CMB)

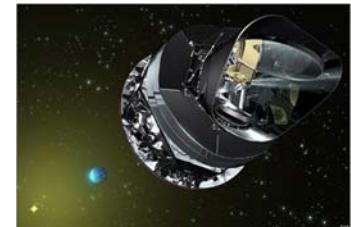
CMB fluctuation:  $\Delta T/T \sim 10^{-5}$

[Planck collaborations]

where  $T \sim 2.7$  K.

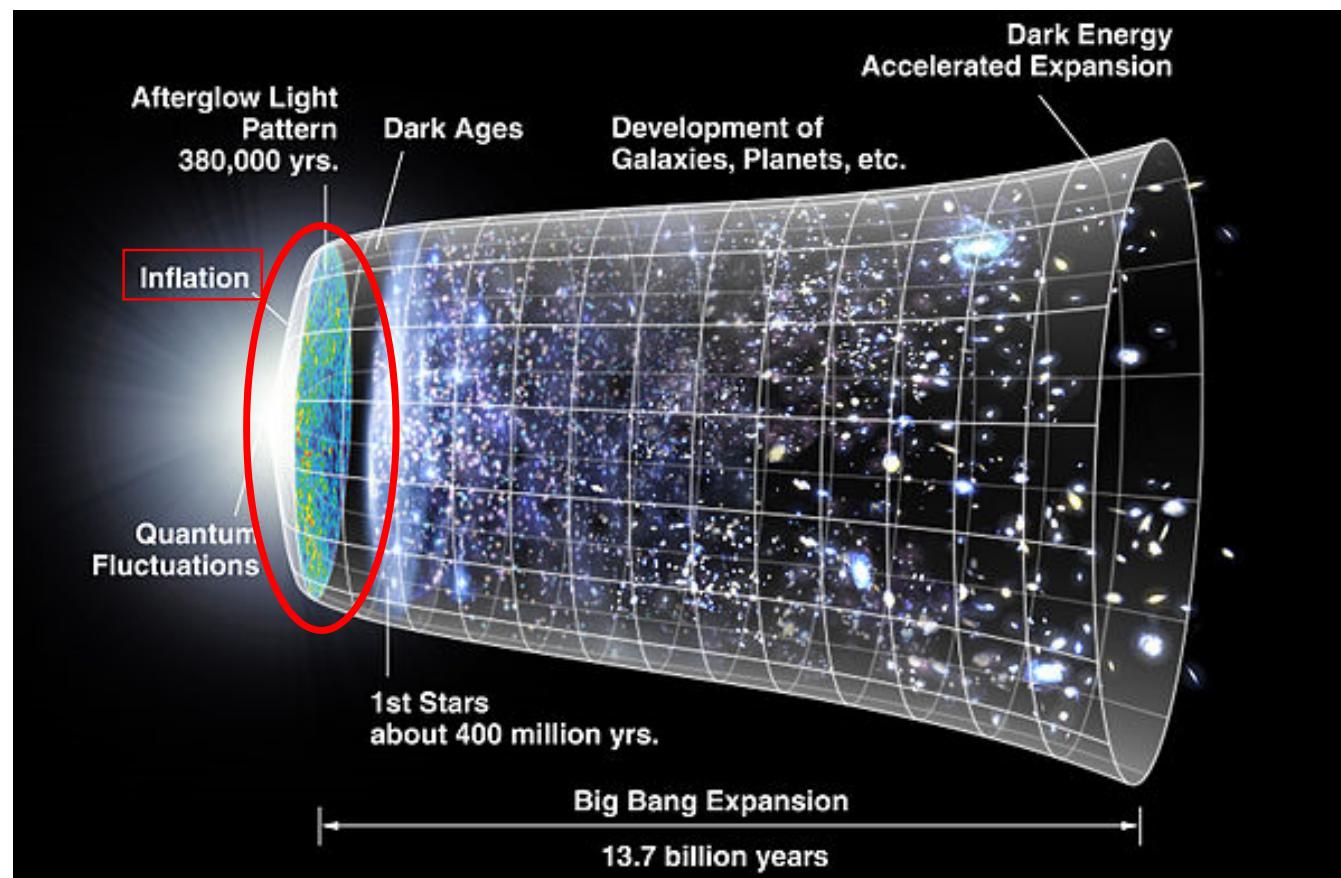


Planck satellite



# Inflation: origin of CMB

Accelerating expansion of the universe

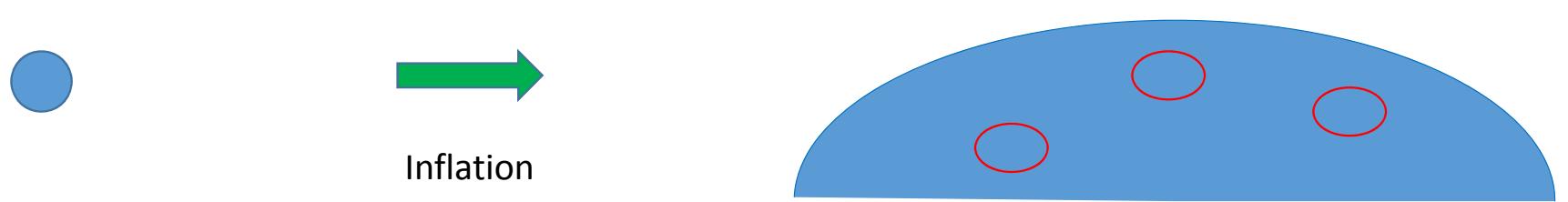


Planck satellite



# Why inflation?

- Generating density fluctuations :  $\Delta T/T \sim 10^{-5}$   
= seeds of galaxies (= those of us)
- Solutions for fine-tuning problems by the expansion
  - Flatness problem:  $\Omega_{\text{curvature}} \ll 1$
  - Horizon problem :  $T \sim 2.7\text{K}$  in CMB all over the sky



# (Flat) Freedman-Robertson-Walker metric

$$ds^2 = -dt^2 + a(t)^2 \delta_{ij} dx^i dx^j$$

$a(t)$ : Scale factor

$$H = \frac{\dot{a}}{a} : \text{Hubble parameter}$$

# Inflation driven by an inflaton $\phi$

- EOM

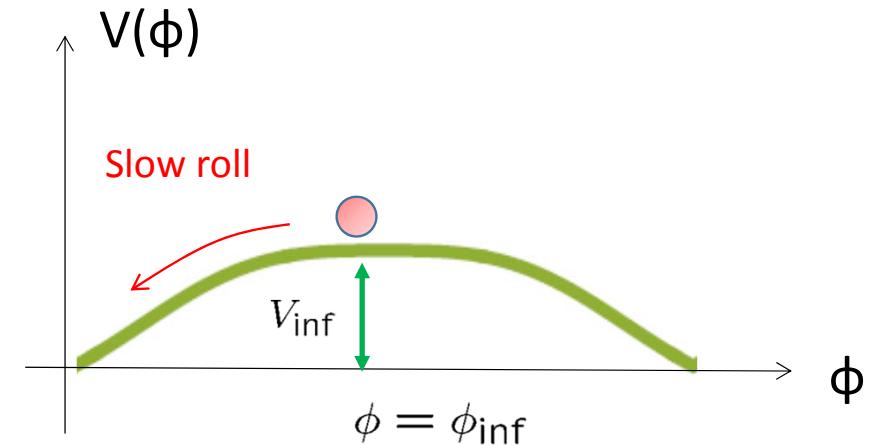
$$\ddot{\phi} + 3H\dot{\phi} + V' = 0$$

- Friedman Eq.

$$H^2 = \left(\frac{\dot{a}}{a}\right)^2 = \frac{1}{3} \left( \frac{1}{2} \dot{\phi}^2 + V \right)$$

- Slow-roll conditions

$$\frac{\dot{H}}{H^2} \ll 1, \quad \dot{\phi} \ll H\dot{\phi} \quad \longleftrightarrow$$



$$\epsilon = \frac{1}{2} \left( \frac{V'}{V} \right)^2 \ll 1, \quad \eta = \frac{V''}{V} \ll 1$$

Inflation!!

$$a \propto e^{Ht}$$

# Inflation driven by an inflaton $\phi$

- EOM

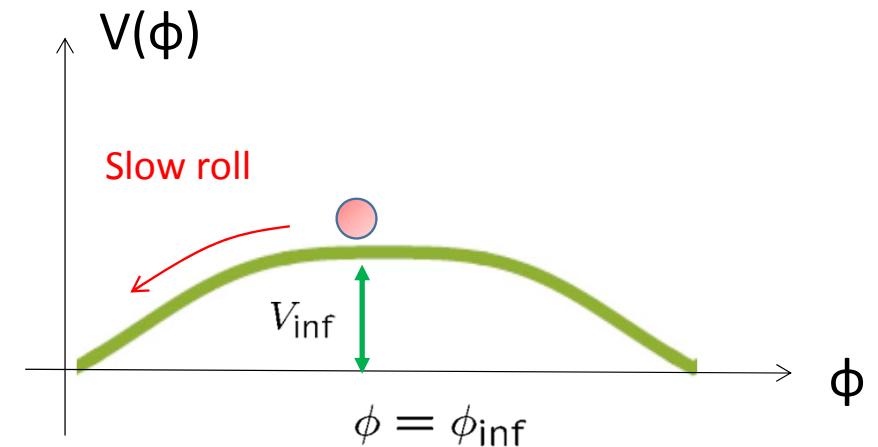
$$\dot{\phi} \simeq -\frac{V'}{3H}$$

- Friedman Eq.

$$H^2 = \left(\frac{\dot{a}}{a}\right)^2 \simeq \frac{1}{3}V$$

- Slow-roll conditions

$$\frac{\dot{H}}{H^2} \ll 1, \quad \ddot{\phi} \ll H\dot{\phi} \quad \longleftrightarrow$$



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Inflation!!

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# Metric perturbation

- Perturbations to FRW metric:

$$ds^2 = -dt^2 + a(t)^2 e^{2\zeta(t, \vec{x})} [\delta_{ij} + h_{ij}(t, \vec{x})] dx^i dx^j$$

$\zeta$ : Scalar perturbation from inflaton

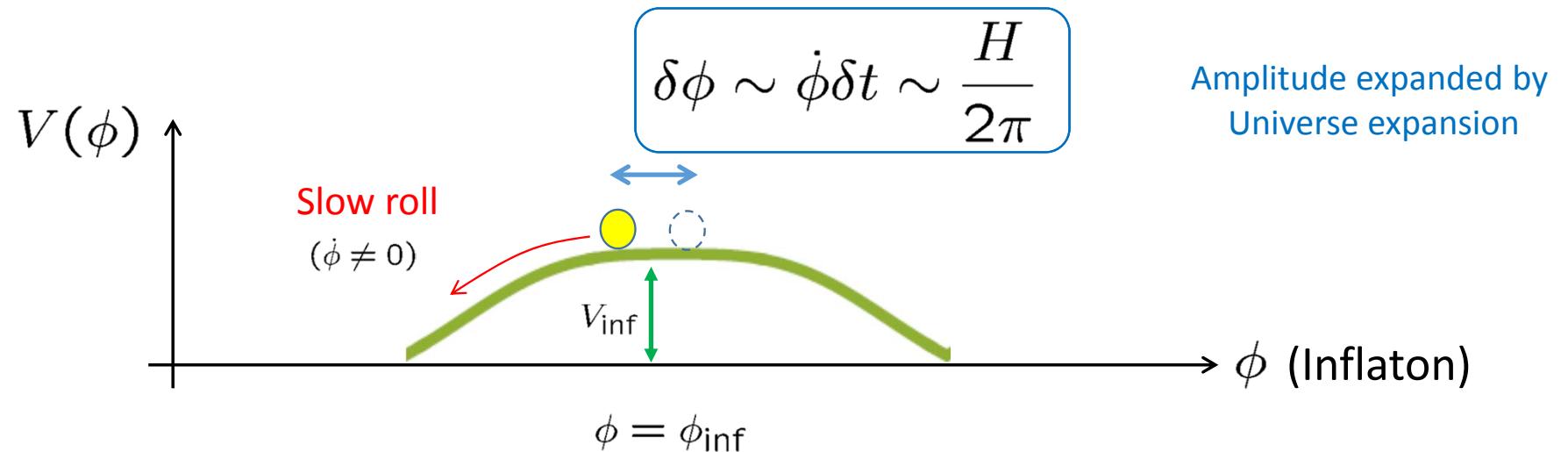
$h$ : Tensor perturbation

(gravitational wave itself from inflation energy  $V_{\text{inf}}$ )

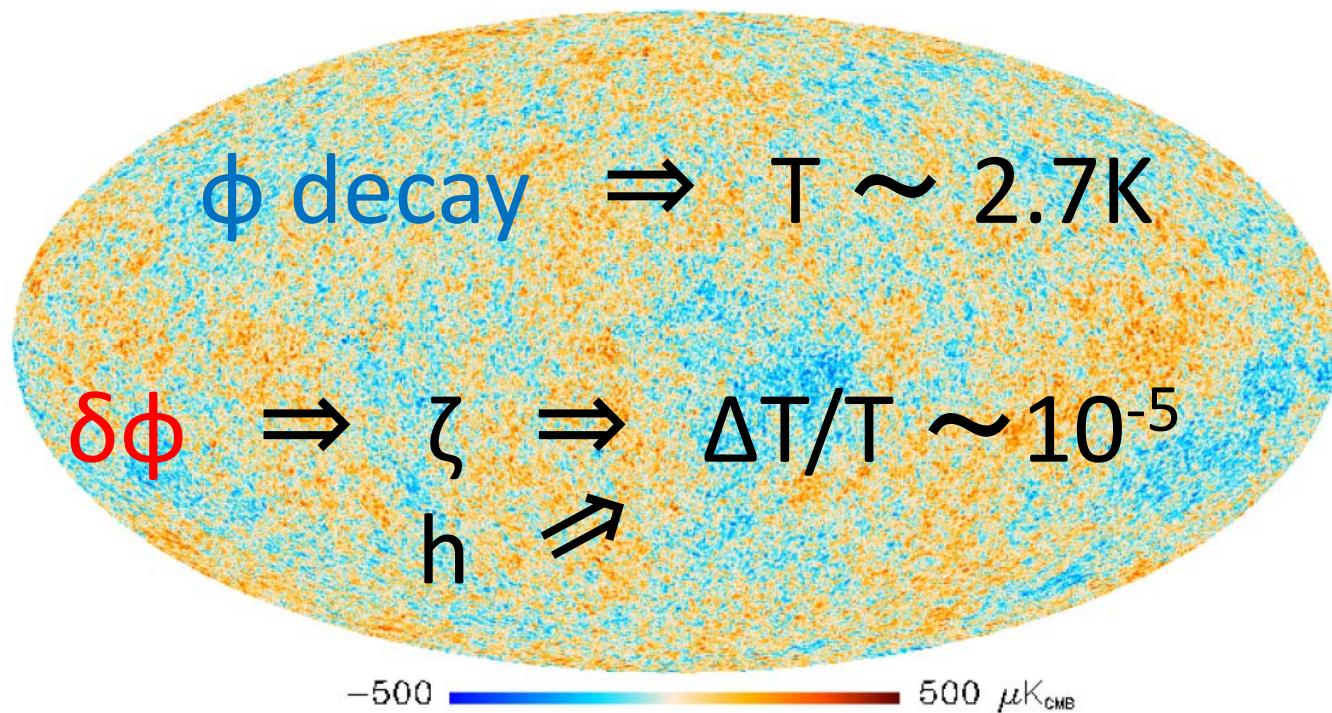
→ B-mode polarization in CMB photon

$\zeta = \text{Inflaton's quantum fluctuation } \delta\phi$

$$\zeta \sim \frac{\delta\rho_\phi}{\rho_\phi} \sim H\delta t \sim \frac{H}{\dot{\phi}}\delta\phi \sim \frac{V^{3/2}}{V'}$$



# CMB fluctuation generated by inflaton



# Theory and observations

[Planck collaboration]

$$P_\zeta \simeq \frac{2V}{3\pi^2 r} \left(\frac{k}{k_0}\right)^{n_s - 1}$$

$$n_s \simeq 1 - 3\left(\frac{V'}{V}\right)^2 + 2\left(\frac{V''}{V}\right)$$

$$r \simeq 8\left(\frac{V'}{V}\right)^2$$

$$P_\zeta^{\text{obs}}(k = k_0) \simeq 2.5 \times 10^{-9}$$
$$k_0 = 0.002 \text{Mpc}^{-1}$$

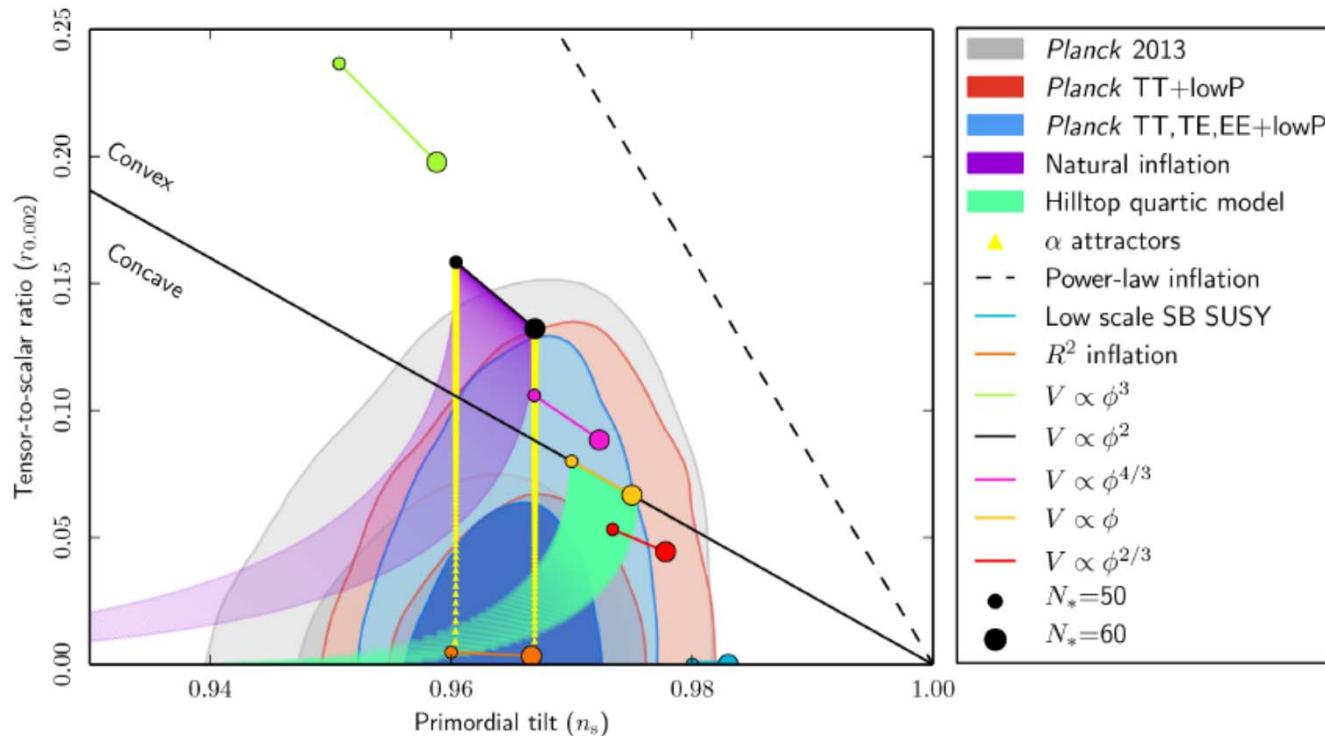
$P_\zeta$ : Power spectrum =  $(\Delta T/T)^2$  from scalar,  $P_\zeta \simeq (\Delta T/T)_{\text{scalar}}^2$

$n_s$ : Spectral index = scale dependence of  $P_\zeta$

$r$ : Tensor to scalar ratio =  $(\Delta T/T)^2$  ratio of gravitational wave to scalar,  $r \simeq \frac{(\Delta T/T)_{\text{tensor}}^2}{(\Delta T/T)_{\text{scalar}}^2}$

# $(n_s, r)$ -contour: focus on small $r$

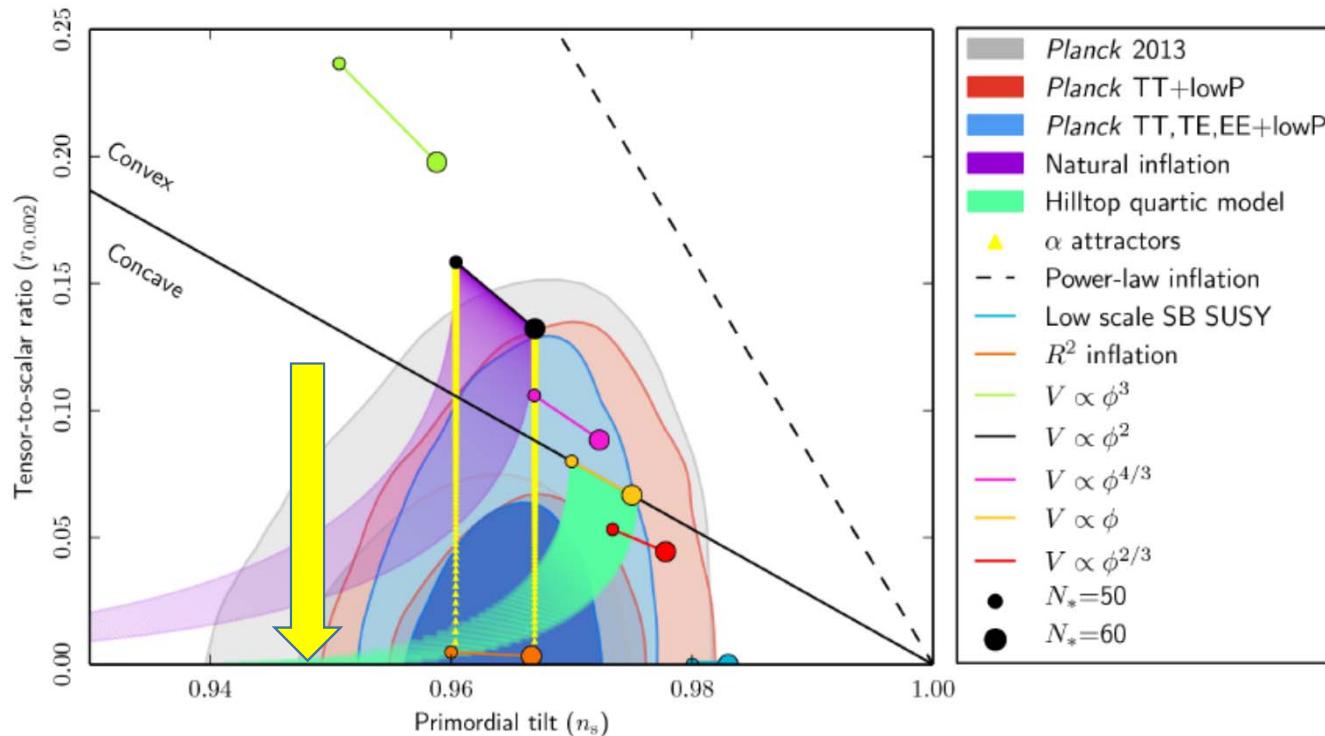
[Planck 2015 collaborations]



$n_s = 0.968 \pm 0.006$   
 $r < 0.11$  (95% CL)

# $(n_s, r)$ -contour: focus on small $r$

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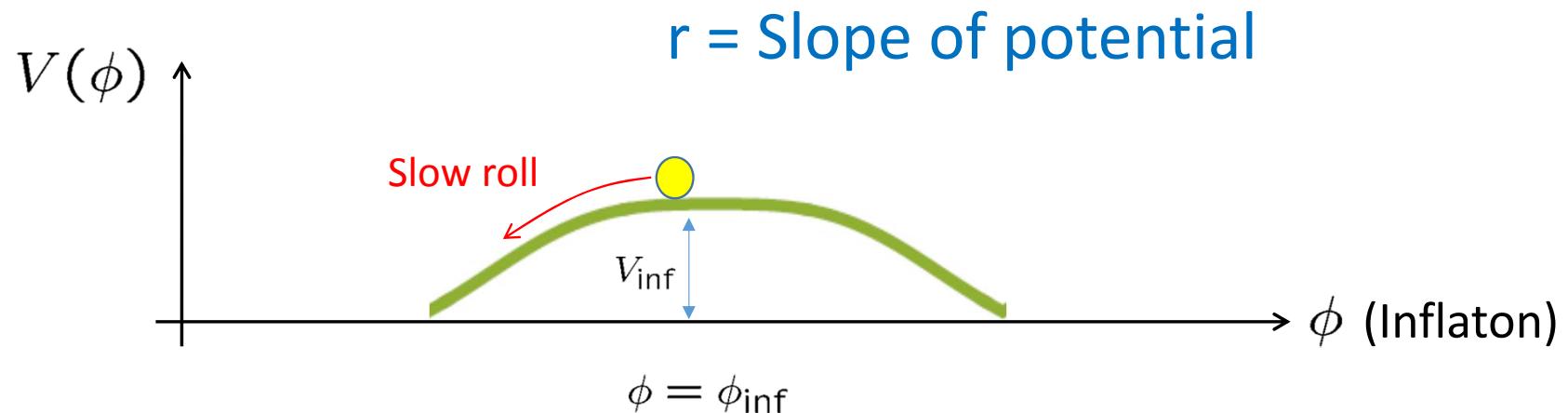
$$n_s = 0.968 \pm 0.006$$

$$r < 0.11 \text{ (95% CL)}$$

Small  $r$  can be favored.

## 2. Flat inflaton potential & symmetry

# Inflaton potential: very small slope and curvature



$$n_s = 1 - 3 \left( \frac{V'}{V} \right)^2 \Big|_{\phi=\phi_{\text{inf}}} + 2 \left( \frac{V''}{V} \right) \Big|_{\phi=\phi_{\text{inf}}}, \quad r = 8 \left( \frac{V'}{V} \right)^2 \Big|_{\phi=\phi_{\text{inf}}}.$$

Observations:

$\hat{\wedge}$	$\hat{\wedge}$	$\hat{\wedge}$
1	1	1

# Control of potential flatness by shift symmetry

- Shift symmetry  $\phi \rightarrow \phi + \text{const.}$  for a flat inflaton potential:

$V(\phi) = 0$  if the symmetry is exact.

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- Chaotic (monodromy) inflation: softly-broken only by a single scale  $\mu$

[Linde]; [Silverstein, Westphal]; [McAllister, Silverstein, Westphal]

$$V(\phi) = \mu^{4-n} \phi^n.$$

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- Natural inflation: broken but a discrete shift symmetry below  $\Lambda$  [Freese, Frieman, Olinto]

$$\phi \rightarrow \phi + 2\pi f; \quad V(\phi) = \Lambda^4 \cos\left(\frac{\phi}{f}\right).$$

# Natural inflation & discrete shift symmetry

**Natural inflation:** well-controlled by a discrete shift symmetry

$$\phi \rightarrow \phi + 2\pi f; \quad V = \Lambda^4 \cos\left(\frac{\phi}{f}\right).$$

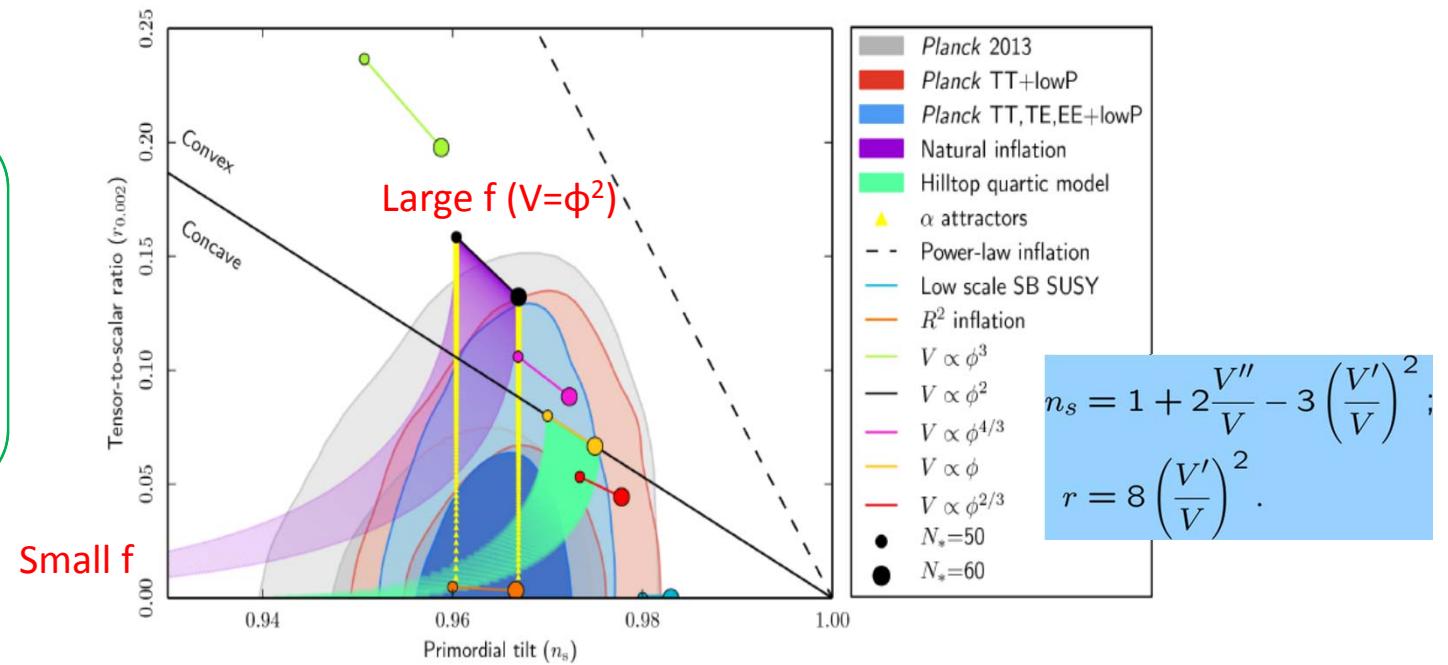
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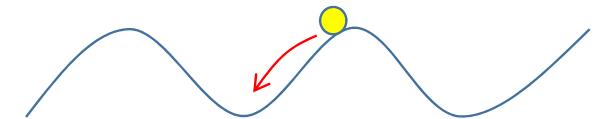
$$\left(\frac{V'}{V}\right)^2 \sim \frac{V''}{V} \sim \frac{1}{f^2} \ll 1.$$

**Remark:**  $\frac{V^3}{V'^2} = \text{fixed}$



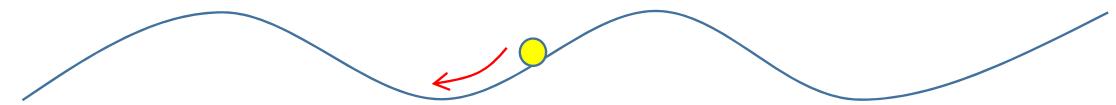
# Natural inflation and f-dependence

- $f \rightarrow \text{small}$ :  $\phi_{\text{inf}}$  is near hilltop for a long slow-roll:



$$\phi_{\text{inf}} \sim \pi f \rightarrow \epsilon \sim 0 \ll |\eta|.$$

- $f \rightarrow \text{large}$ : Chaotic inflation



$$V = \frac{m^2}{2} \phi^2, \quad r = 0.16 \left( \frac{50}{N} \right), \quad \epsilon = \eta = \frac{1}{2N}$$

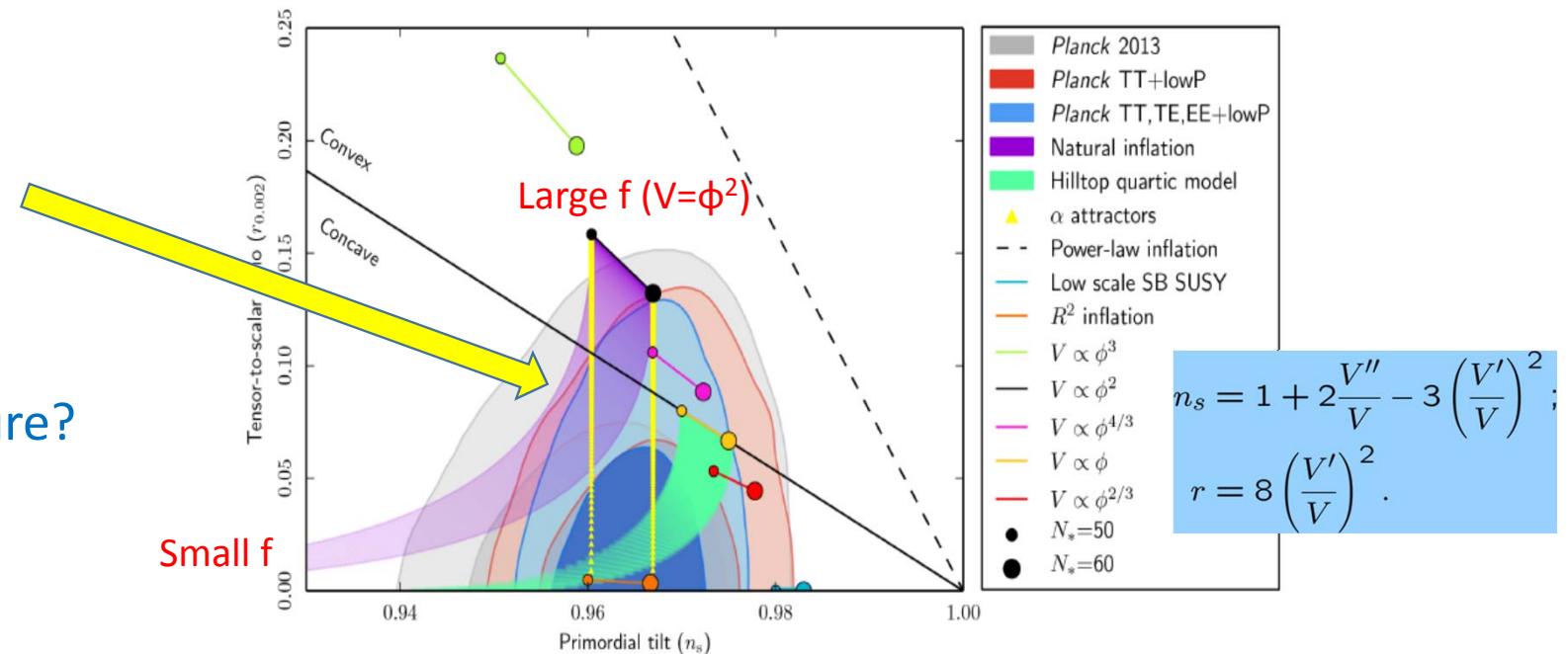
$$N = \log(a_f/a_{\text{inf}}) = \int_{t_{\text{inf}}}^{t_f} H dt \simeq \int_{\phi_f}^{\phi_{\text{inf}}} \frac{V}{V'} d\phi \quad : \text{e-folding}$$

# Natural inflation & discrete shift symmetry

**Natural inflation:** well-controlled by a discrete shift symmetry

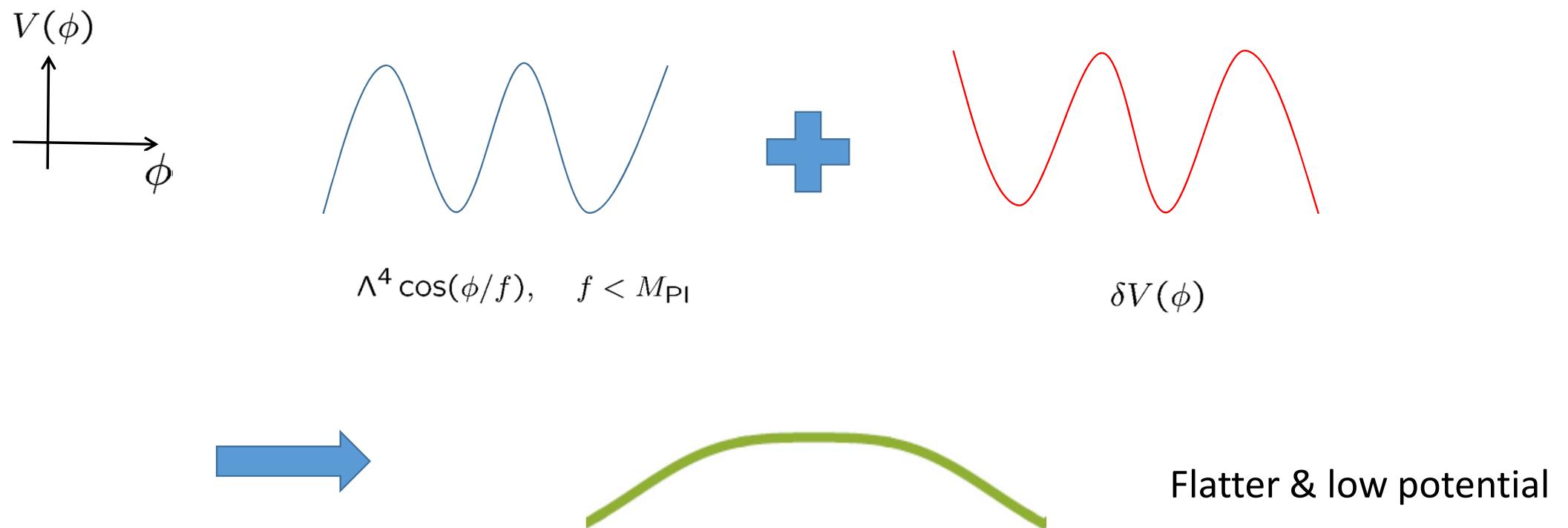
$$\phi \rightarrow \phi + 2\pi f; \quad V = \Lambda^4 \cos\left(\frac{\phi}{f}\right).$$

- But, want a smaller  $r$ .
- $f > 5 M_{Pl}$ :  
Weak gravity conjecture?



# Natural inflation for a small r?

- An idea: a cancellation against a big cosine function in potential



# Multi-natural inflation: a bottom-up approach

[Czerny, Takahashi]; [Czerny, TH, Takahashi]; [TH, Takahashi]; [Kobayashi, Takahashi];[Czerny, Kobayashi, Takahashi]

- Modification for it: **Adding cosine function(s) to natural inflation**

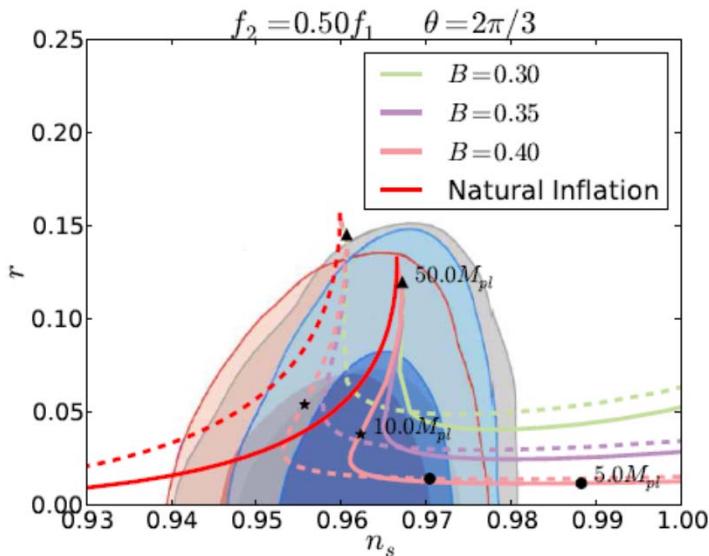
$$V(\phi) = V_0 - \Lambda^4 \left[ \cos\left(\frac{\phi}{f_1}\right) + B \cos\left(\frac{\phi}{f_2} + \theta\right) \right].$$

# Multi-natural inflation: a bottom-up approach

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# A UV completion and compactification

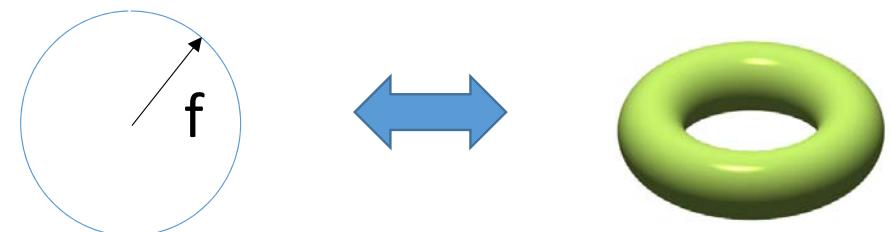
- Q. How is this model controlled? :
    - What are discrete symmetries for control?
    - What are their origins?

- A. Discrete symmetry from compactification of extra dimension
    - Discreteness = Compactness

$$\phi \equiv \phi + 2\pi f$$

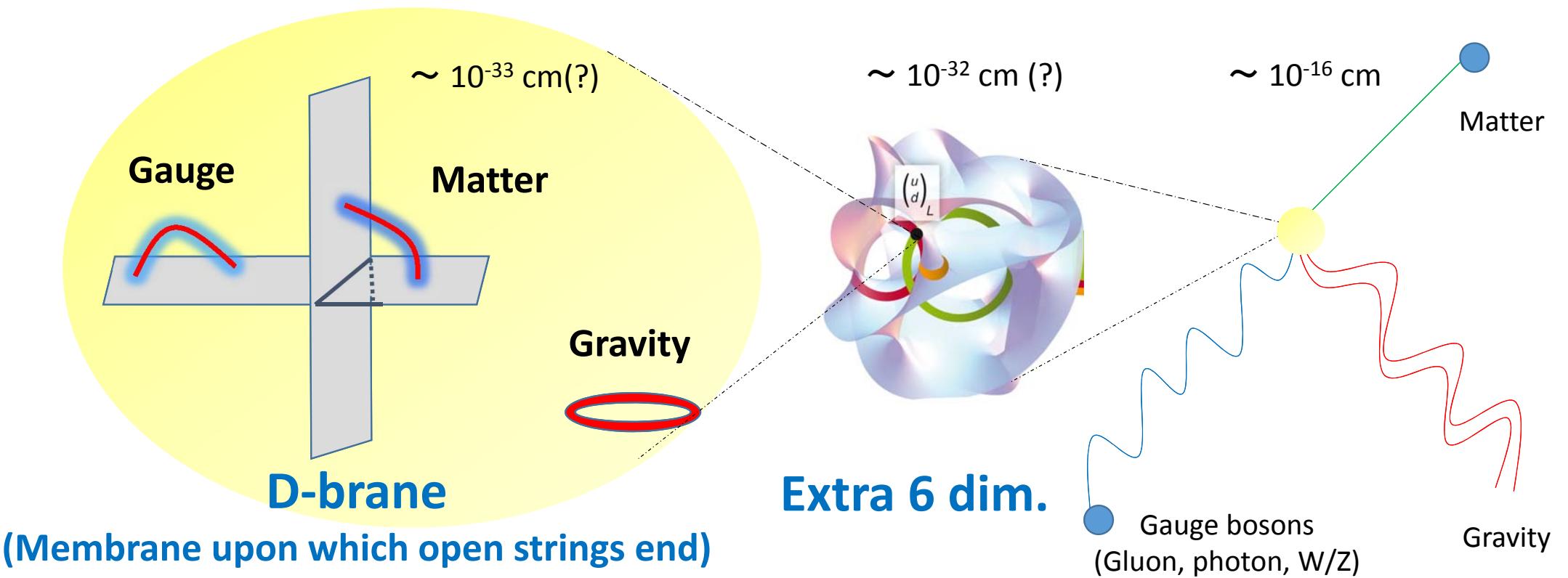
$\phi$ -space  
on a circle

## Compactification of extra dim. relevant to $\phi$ -periodicity



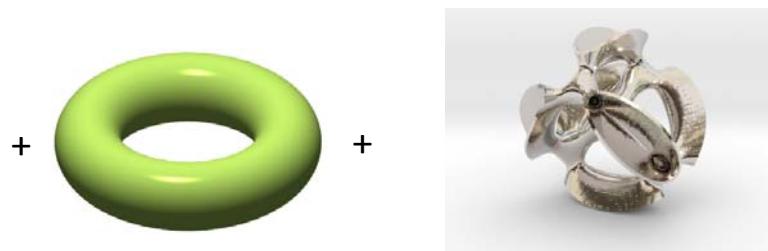
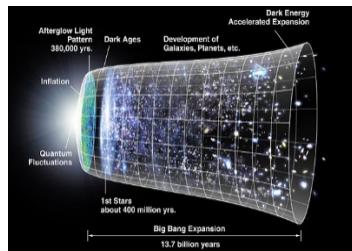
### 3. A UV completion in the string theory

# String theory as the origin of forces & matter



# String theory compactification on torus

- Let  $10D = 4D$  spacetime + **2d torus ( $T^2$ )** +  $X_4$  (something)



# String theory compactification on torus

- Let  $10D = 4D$  spacetime + **2d torus ( $T^2$ )** +  $X_4$  (something)



- Consider intersecting D6-branes in IIA model; **just one direction of D6 on  $T^2$**   
(Similarly, possible to consider magnetized D-branes in IIB model)

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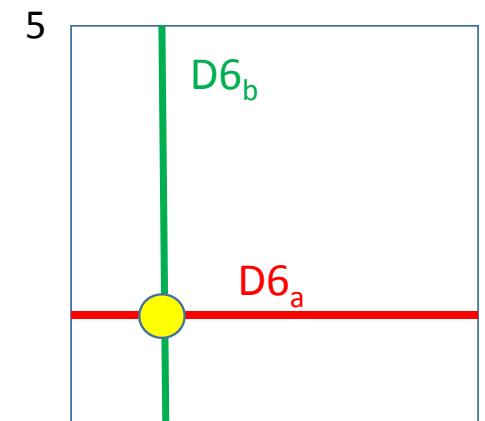
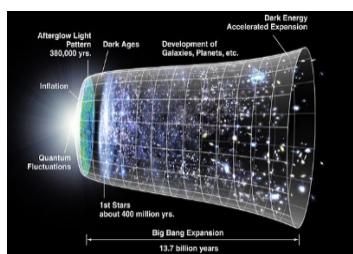
- Consider intersecting D6-branes in IIA model; **just one direction of D6 on  $T^2$**   
(Similarly, possible to consider magnetized D-branes in IIB model)
- Inflation energy: SUSY-breaking by Izawa-Yanagida-Intriligator-Thomas (IYIT)

$$W = y_{ijk} X^i Y^j \Phi^k \quad \rightarrow \quad y_{ijk} \mathcal{M}^{ij} \Phi^k + Z [\text{Pf}(\mathcal{M}) - \Lambda_{SU(2)}^4]$$

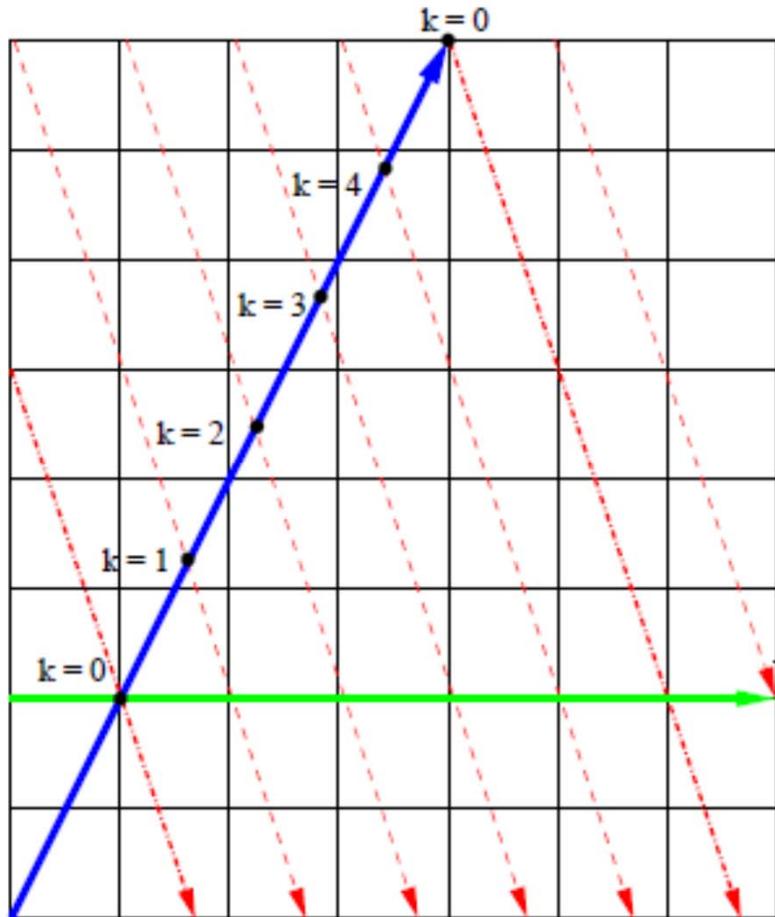
# An example of D6-brane configuration

Spacetime	0	1	2	3	4	5	6	7	8	9
D6 <sub>a</sub>	○	○	○	○	○	×	○	×	○	×
D6 <sub>b</sub>	○	○	○	○	×	○	×	○	○	×

$T^2$



# An example of D6-branes on $T^2$



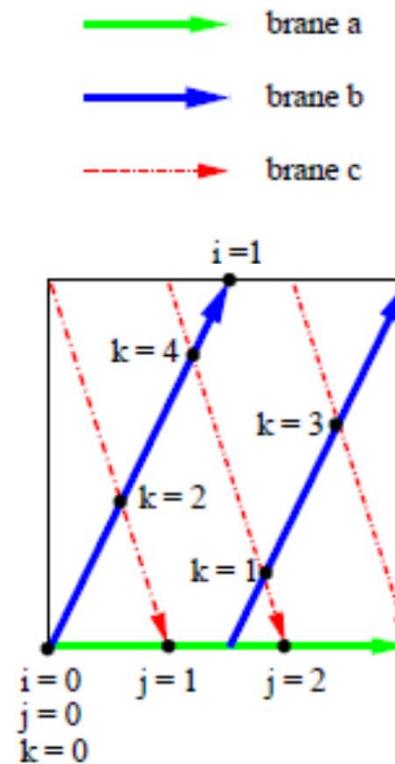
[Cremades-Ibanez-Marquesano]

- Branes (gauge theories) = lines
- Matter = Intersection points
- Yukawa coupling = Sum of triangles  
(Winding modes)

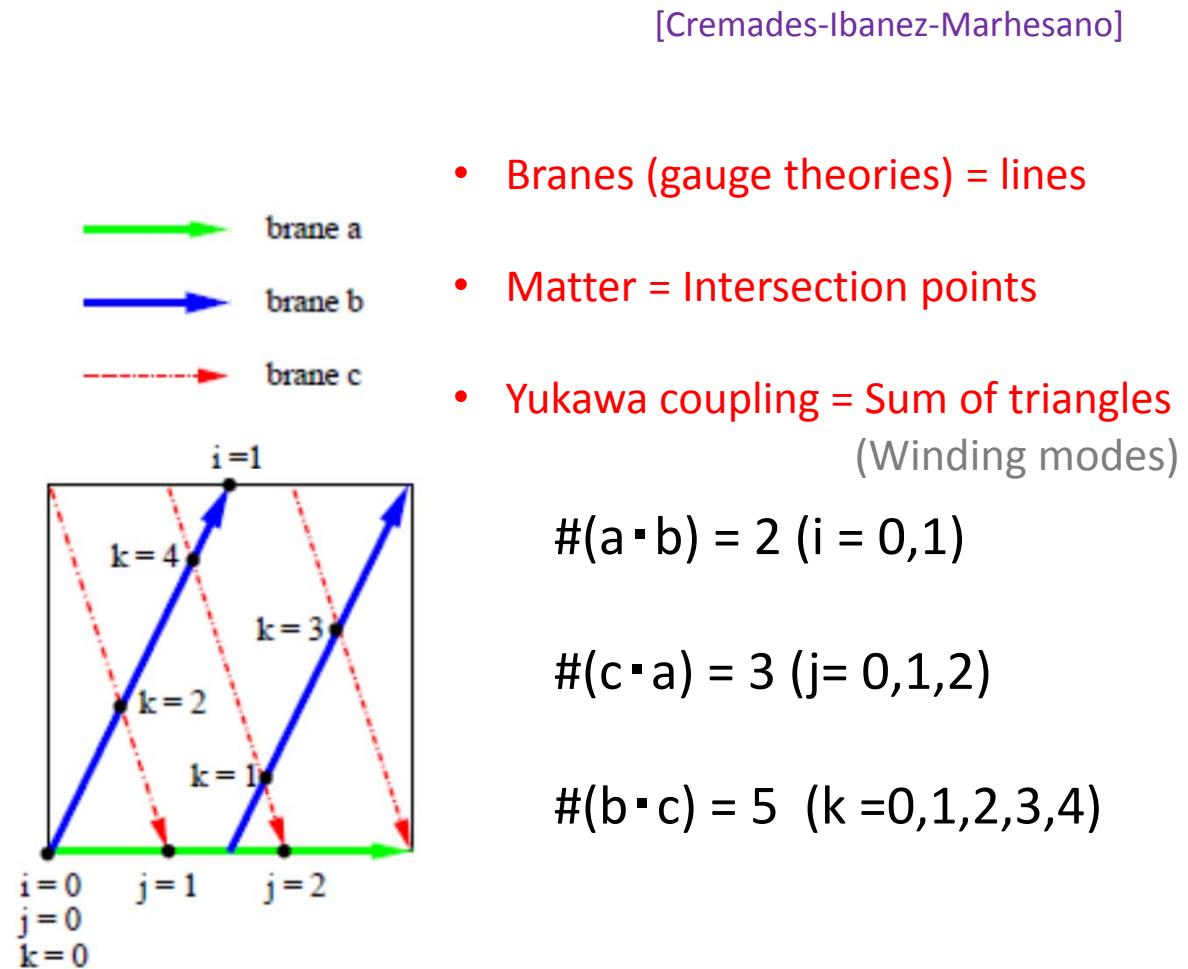
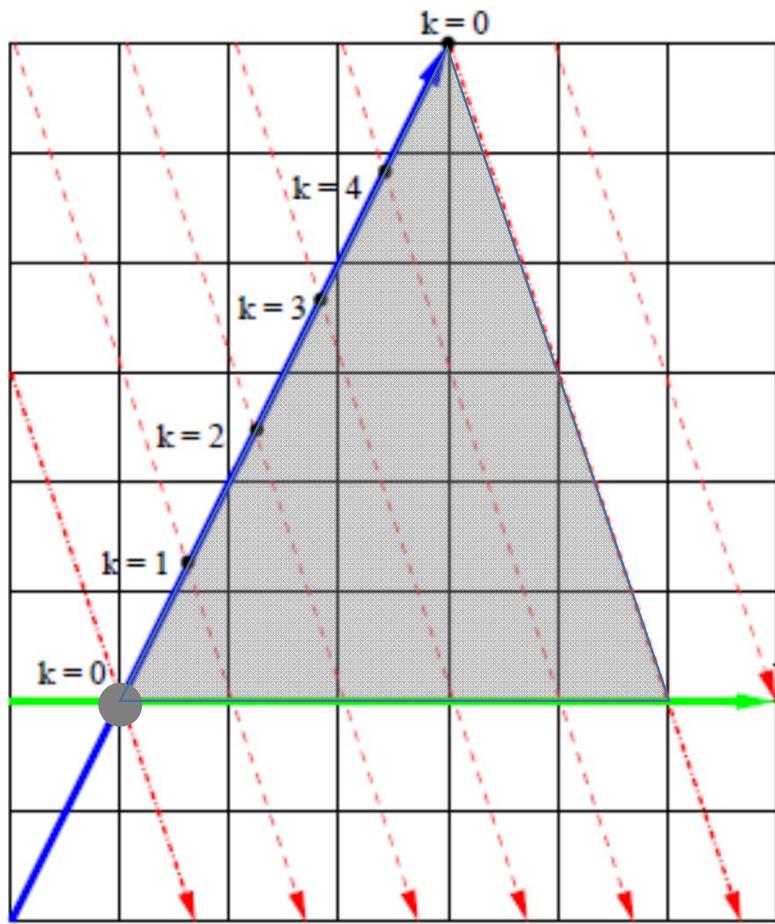
$$\#(a \cdot b) = 2 \ (i = 0, 1)$$

$$\#(c \cdot a) = 3 \ (j = 0, 1, 2)$$

$$\#(b \cdot c) = 5 \ (k = 0, 1, 2, 3, 4)$$

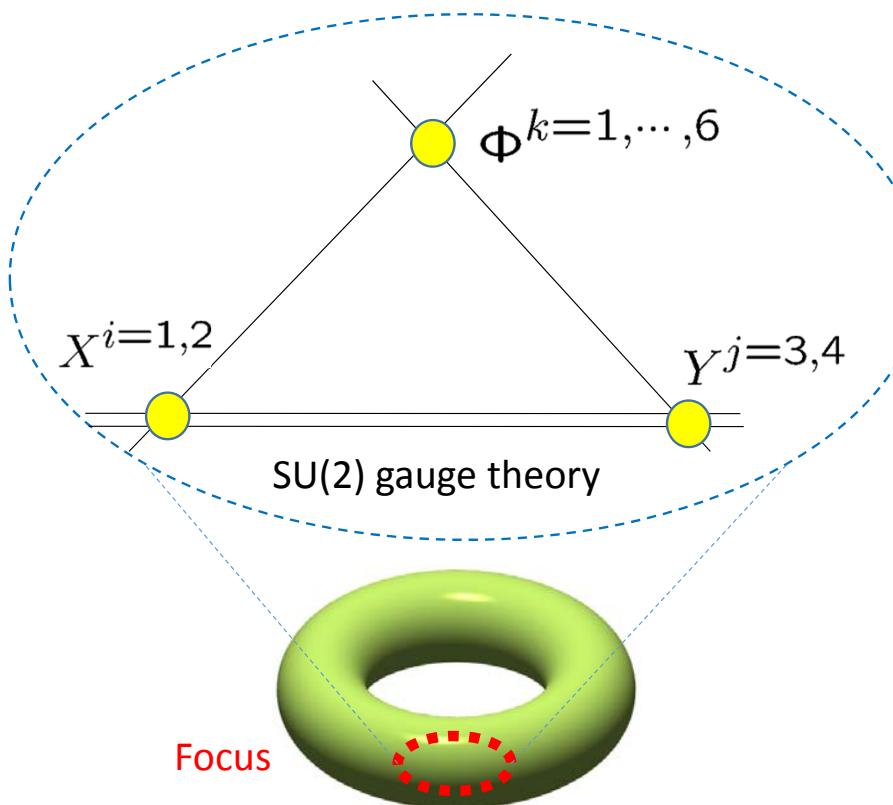


# Example: Parts of D6-branes on $T^2$



# Intersecting D-branes on 2D torus

- Discrete symmetries from “Torus property  $\times$  D-brane configuration”:

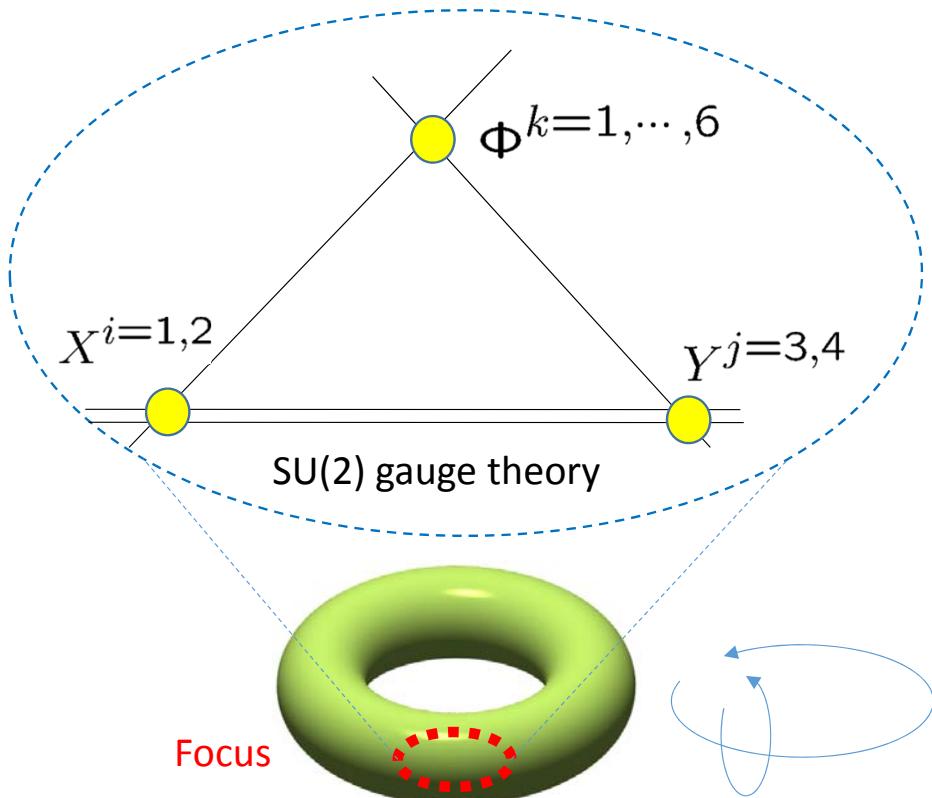


$$W = y_{ijk} X^i Y^j \Phi^k$$

$SL(2, \mathbb{Z}) \times (\text{periodicity}) \times (\mathbb{Z}_2)^2$   
relevant to control  $y_{ijk}$  .  
**(preliminary result)**

# Intersecting D-branes on 2D torus

- Torus property  $\times$  D-brane configuration  $\sim \text{SL}(2, \mathbb{Z}) \times \text{periodicity} \times (\mathbb{Z}_2)^2$



$$W = y_{ijk} X^i Y^j \Phi^k$$

$$y_{ijk} \sim \vartheta \begin{bmatrix} a \\ b \end{bmatrix} (\nu, \tau) = \sum_{l=-\infty}^{\infty} e^{\pi i(a+l)^2 \tau} e^{2\pi i(a+l)(\nu+b)}$$

$$\tau = (B + iA)/\alpha'$$

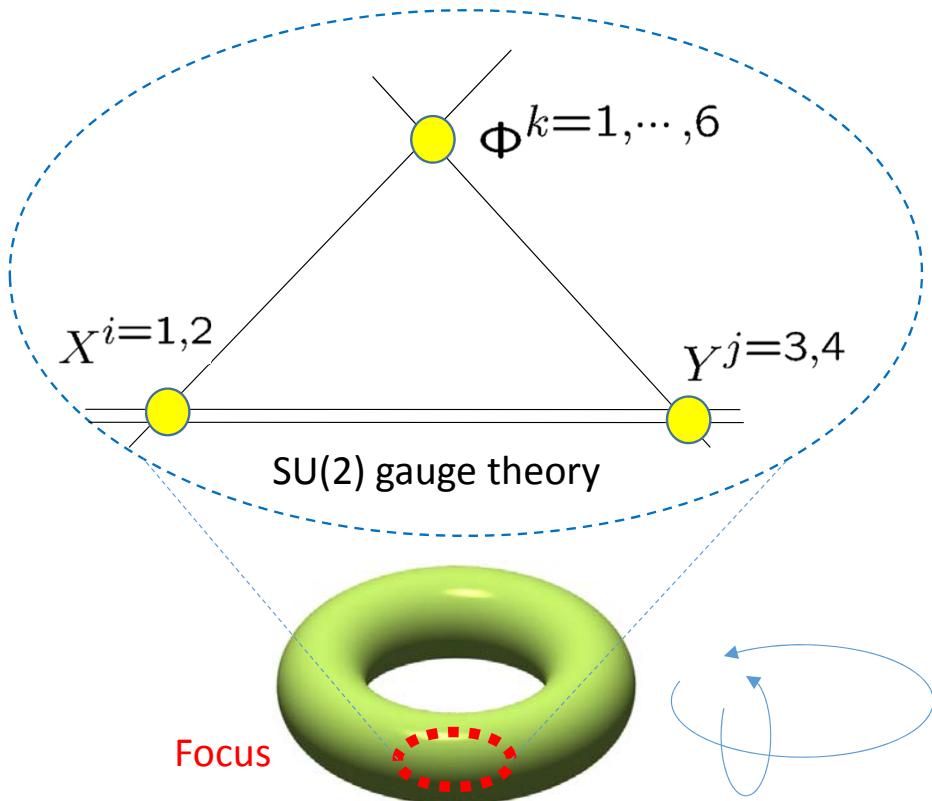
B: NS B-field axion,    A: torus area

$\nu + b$ : brane position moduli

a: brane intersection # -dependent number

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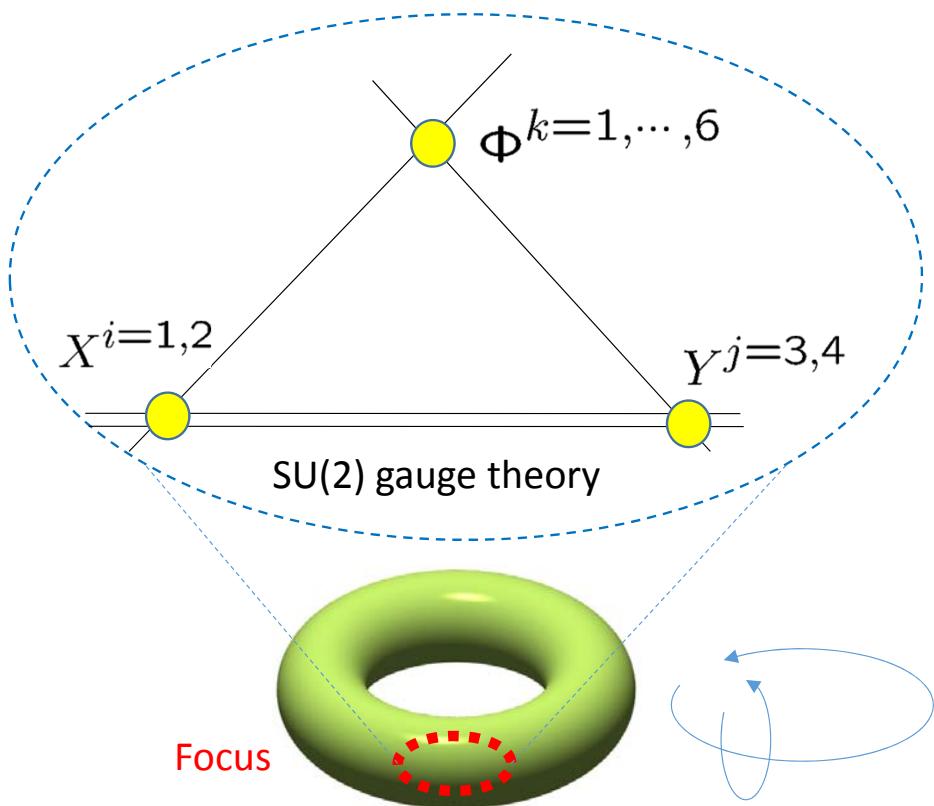
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$$\tau = (B + iA)/\alpha'$$

- Periodicity :  $\nu \rightarrow \nu + n + m\tau, \quad m, n \in \mathbb{Z}.$
- $SL(2, \mathbb{Z}) : \tau \rightarrow \frac{a\tau + b}{c\tau + d}, \quad ad - bc = 1, \quad a, b, c, d \in \mathbb{Z}.$   
(T-dual of complex structure on  $T^2$ )

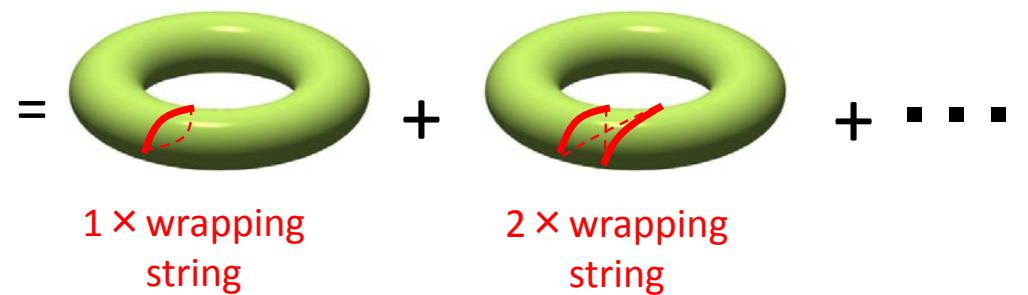
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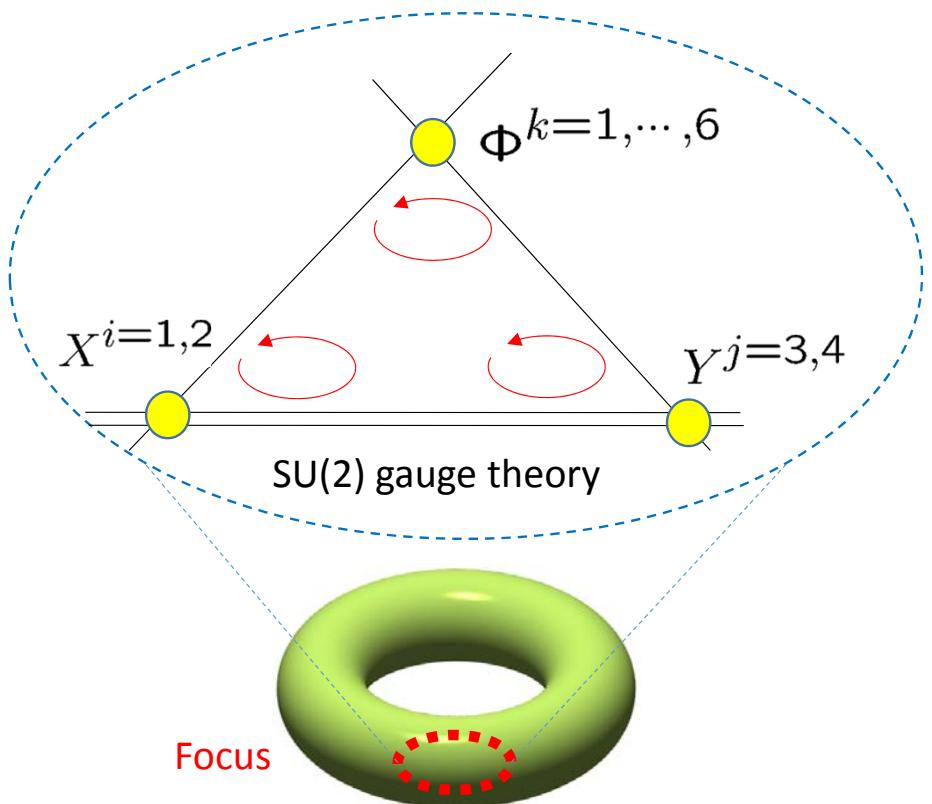
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# Intersecting D-branes on 2D torus

- Torus property  $\times$  D-brane configuration  $\sim \text{SL}(2, \mathbb{Z}) \times \text{periodicity} \times (\mathbb{Z}_2)^2$



$$W = y_{ijk} X^i Y^j \Phi^k$$

$(\mathbb{Z}_2 \times \mathbb{Z}_2)$  invariant Yukawa coupling  $y_{ijk}$  :

1.  $(X^i, Y^j, \Phi^k) \rightarrow (-X^i, -Y^j, \Phi^k)$
2.  $\qquad\qquad\qquad \rightarrow (X^i, -Y^j, -\Phi^k)$

# Low energy W & explicit form of Yukawas

- Low energy W in IYIT model:

$$W = y_{ijk} \mathcal{M}^{ij} \Phi^k$$

with taking  $\text{Pf}(\mathcal{M}) = -\mathcal{M}_{13}\mathcal{M}_{24} = \Lambda^4$ .

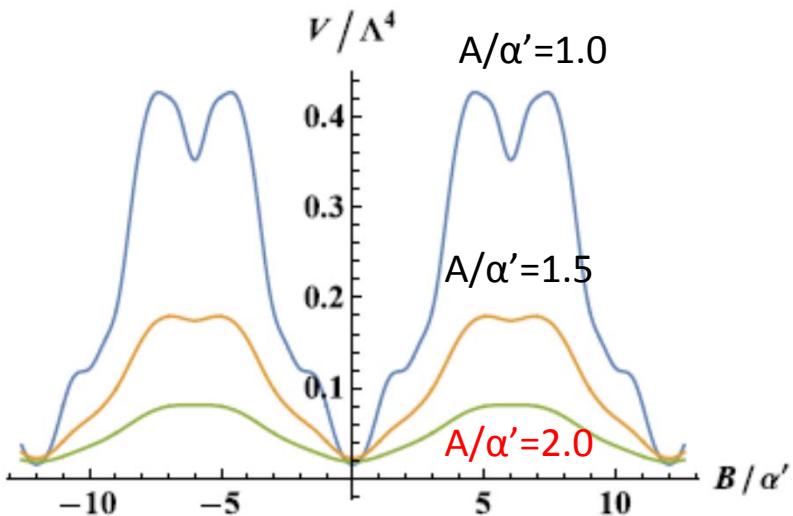
$$(|\mathcal{M}_{13}| = |\mathcal{M}_{24}|)$$

$$\begin{aligned} y_{131} = y_{243} &= \vartheta \begin{bmatrix} 0 \\ 0 \end{bmatrix} (\varphi, 6(B + iA)/\alpha') + \vartheta \begin{bmatrix} \frac{1}{2} \\ 0 \end{bmatrix} (\varphi, 6(B + iA)/\alpha'), \\ y_{241} = y_{135} &= \vartheta \begin{bmatrix} \frac{1}{3} \\ 0 \end{bmatrix} (\varphi, 6(B + iA)/\alpha') + \vartheta \begin{bmatrix} \frac{5}{6} \\ 0 \end{bmatrix} (\varphi, 6(B + iA)/\alpha'), \\ y_{142} = y_{236} &= \vartheta \begin{bmatrix} \frac{5}{12} \\ 0 \end{bmatrix} (\varphi, 6(B + iA)/\alpha') + \vartheta \begin{bmatrix} \frac{11}{12} \\ 0 \end{bmatrix} (\varphi, 6(B + iA)/\alpha'), \\ y_{232} = y_{144} &= \vartheta \begin{bmatrix} \frac{1}{12} \\ 0 \end{bmatrix} (\varphi, 6(B + iA)/\alpha') + \vartheta \begin{bmatrix} \frac{7}{12} \\ 0 \end{bmatrix} (\varphi, 6(B + iA)/\alpha'), \\ y_{133} = y_{245} &= \vartheta \begin{bmatrix} \frac{1}{6} \\ 0 \end{bmatrix} (\varphi, 6(B + iA)/\alpha') + \vartheta \begin{bmatrix} \frac{2}{3} \\ 0 \end{bmatrix} (\varphi, 6(B + iA)/\alpha'), \\ y_{234} = y_{146} &= \vartheta \begin{bmatrix} \frac{1}{4} \\ 0 \end{bmatrix} (\varphi, 6(B + iA)/\alpha') + \vartheta \begin{bmatrix} \frac{3}{4} \\ 0 \end{bmatrix} (\varphi, 6(B + iA)/\alpha'), \end{aligned}$$

# Scalar potential

$$\begin{aligned} \frac{V}{\Lambda^4} &\simeq (|y_{131}|^2 + |y_{133}|^2 + |y_{135}|^2 - 2\text{Re}(y_{131}^* y_{133} + y_{133}^* y_{135} + y_{135}^* y_{131})) \\ &= 4 \left( -e^{-\frac{3}{2}\pi A/\alpha'} \cos\left(\frac{3}{2}\pi\phi/f\right) + e^{-\frac{1}{6}\pi A/\alpha'} \cos\left(\frac{1}{6}\pi\phi/f\right) - e^{-\frac{2}{3}\pi A/\alpha'} \cos\left(\frac{2}{3}\pi\phi/f\right) \right) \end{aligned}$$

$V(\phi) \sim C - \lambda\phi^4 \quad \text{for } A/\alpha' \simeq 2.$



- Inflaton  $\phi$ :

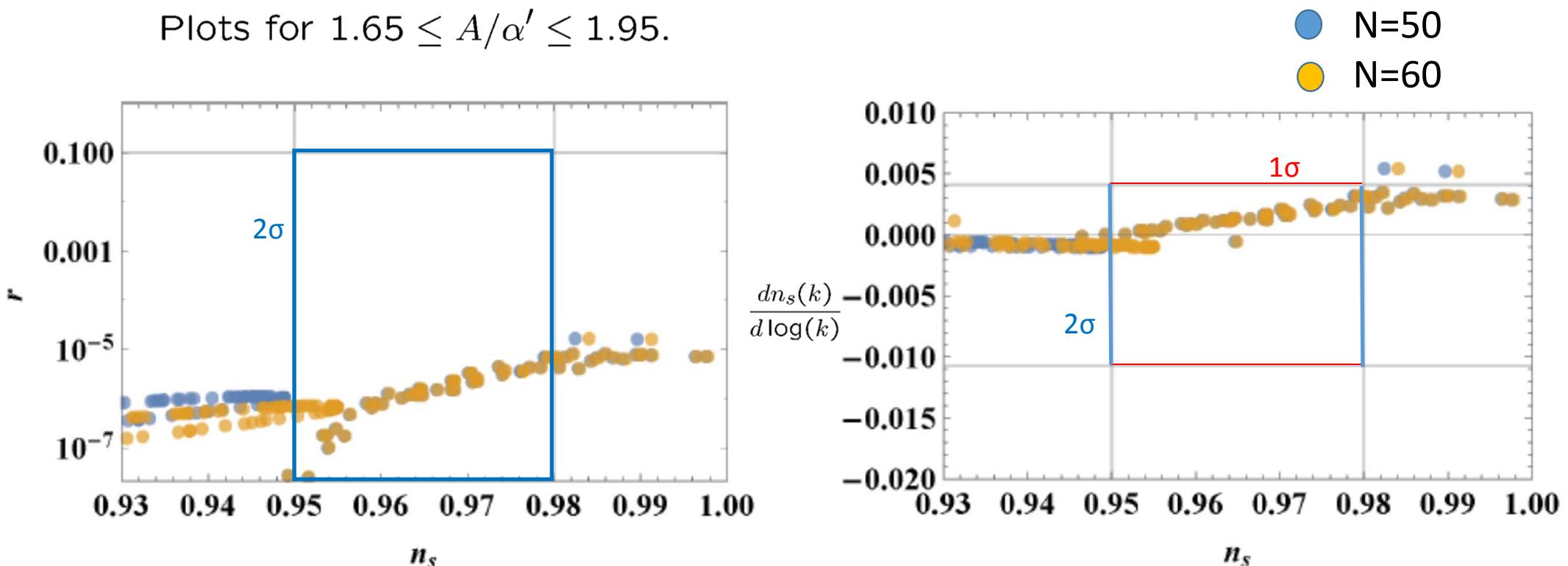
$$\frac{\phi}{f} \equiv 6 - \frac{B}{\alpha'}$$

- Decay constant  $f$ :

$$\mathcal{L}_{\text{kin}} \equiv \frac{f^2}{2} [\partial(B/\alpha')]^2 \equiv \frac{1}{2} (\partial\phi)^2$$

# Results for string inspired case of $f = \frac{\alpha'}{\sqrt{2}A} M_{\text{Pl}}$

Plots for  $1.65 \leq A/\alpha' \leq 1.95$ .



$A/\alpha' \sim 1.81$ ;  $f \sim 0.39 M_{\text{Pl}}$  for Planck results

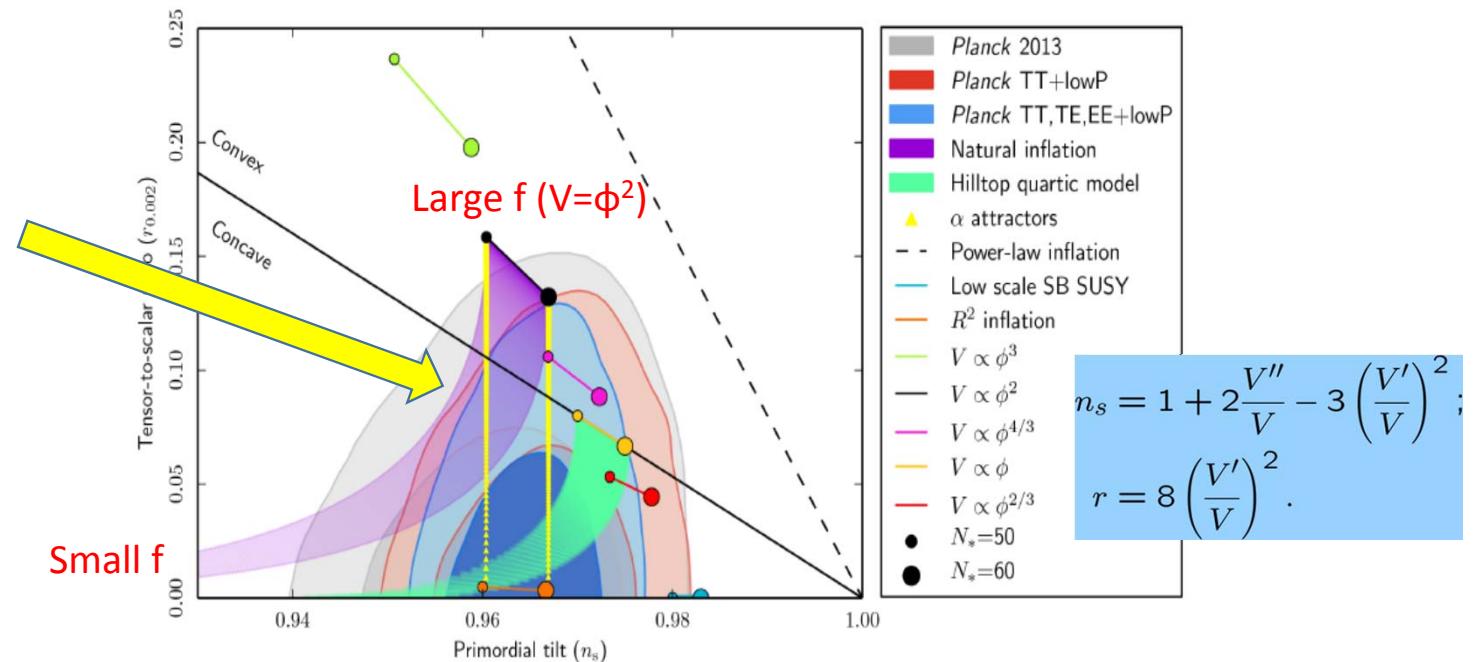
## 4. Review of other attempts for natural inflation

# Natural inflation & discrete shift symmetry

**Natural inflation:** well-controlled by a discrete shift symmetry

$$\phi \rightarrow \phi + 2\pi f; \quad V = \Lambda^4 \cos\left(\frac{\phi}{f}\right).$$

- $f > 5 M_{\text{Pl}}$ :  
Weak gravity conjecture?



# Aligned natural inflation for $f > M_{Pl}$

- Two axions:  $\phi_1 \rightarrow \phi_1 + 2\pi f_1$ ,  $\phi_2 \rightarrow \phi_2 + 2\pi f_2$

[Kim, Nilles, Peloso]

$$V = \Lambda_1^4 \cos \left( n_1 \frac{\phi_1}{f_1} + n_2 \frac{\phi_2}{f_2} \right) + \Lambda_2^4 \cos \left( m_1 \frac{\phi_1}{f_1} + m_2 \frac{\phi_2}{f_2} \right) \quad n_i, m_i \in \mathbb{Z}$$

For  $\Lambda_1 \gg \Lambda_2$ ,  $\phi \equiv \frac{f_1 f_2}{\sqrt{(n_1 f_1)^2 + (n_2 f_2)^2}} \left( -n_2 \frac{\phi_1}{f_2} + n_1 \frac{\phi_2}{f_1} \right)$  becomes inflaton:

$$V_{\text{eff}} = \Lambda_2^4 \cos \left( \frac{\phi}{f_{\text{eff}}} \right)$$

$$f_{\text{eff}} = \frac{\sqrt{(n_1 f_1)^2 + (n_2 f_2)^2}}{|n_1 m_2 - n_2 m_1|}$$

# The weak gravity conjecture

[Arkani-hamed, Motl, Nicolis, Vafa]

The conjecture: “The gravity is the weakest force.”

$$q \gtrsim \frac{m}{M_{\text{Pl}}}.$$

$$( F_{U(1)} = \frac{q^2}{r^2} \gtrsim F_g = G_N \frac{m^2}{r^2} )$$

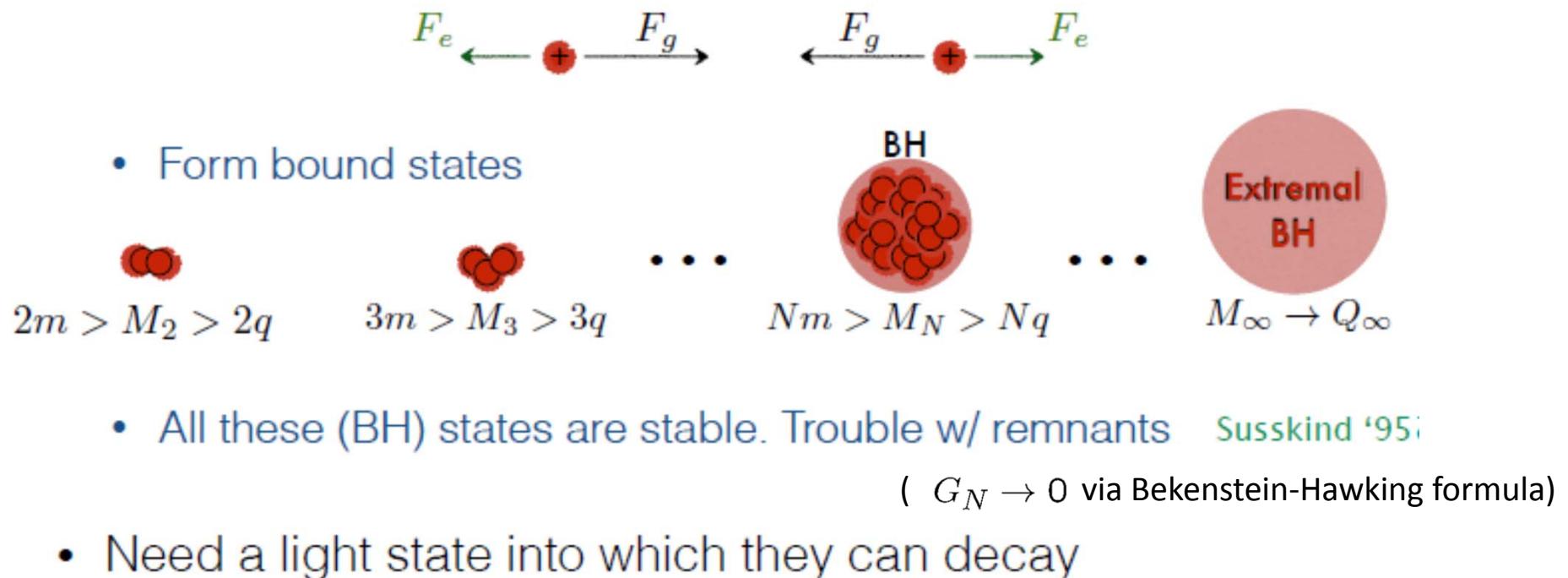
- But, one might have an axion interaction of  $M_{\text{Pl}} < f$ :  $\mathcal{L} = \frac{\phi}{f} \mathcal{O} < \frac{\phi}{M_{\text{Pl}}} \mathcal{O}$ .
- What if we have a weaker force than gravity?

$$M_P \equiv 1$$

# The Weak Gravity Conjecture

[Slide from G. Shiu]

- Take a U(1) and a single family with  $q < m$  ( WGC)



$$\frac{q}{m} \geq "1" \equiv \frac{Q_{Ext}}{M_{Ext}}$$

# The weak gravity conjecture for axion

[Arkani-hamed, Motl, Nicolis, Vafa]

The conjecture for axion potential via one instanton effect:

$$\frac{1}{f} \gtrsim \frac{S}{M_{\text{Pl}}}.$$

$$V_{\text{1-inst}} = e^{-S} M_{\text{Pl}}^4 \cos\left(\frac{\phi}{f}\right)$$

- Axion interaction for  $M_{\text{Pl}} < f$ :  $\mathcal{L} = \frac{\phi}{f} \mathcal{O} < \frac{\phi}{M_{\text{Pl}}} \mathcal{O}$ .

# A possible loophole

[Slide from G. Shiu]

- The WGC requires  $f \cdot m < 1$  for ONE instanton, but not ALL

$$V = e^{-m} \left[ 1 - \cos \left( \frac{\Phi}{F} \right) \right] + e^{-M} \left[ 1 - \cos \left( \frac{\Phi}{f} \right) \right]$$

With  $1 < m \ll M$ ,  $F \gg M_P > f$ ,  $M \times f \ll 1$

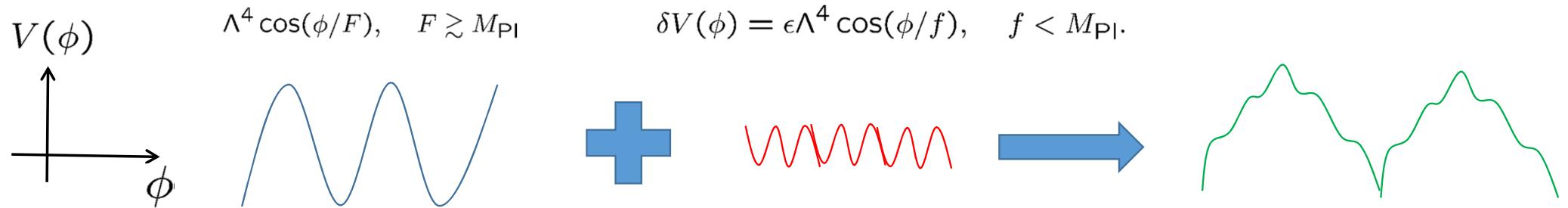
## Multi-natural inflation!

- The second instanton fulfills the WGC, but is negligible, an “spectator”. Inflation is governed by the first term.

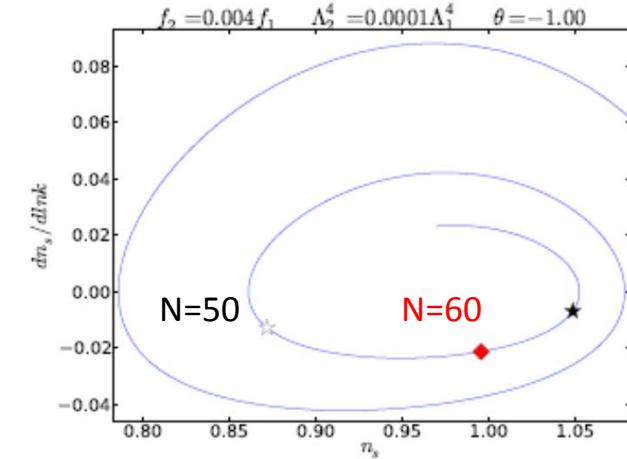
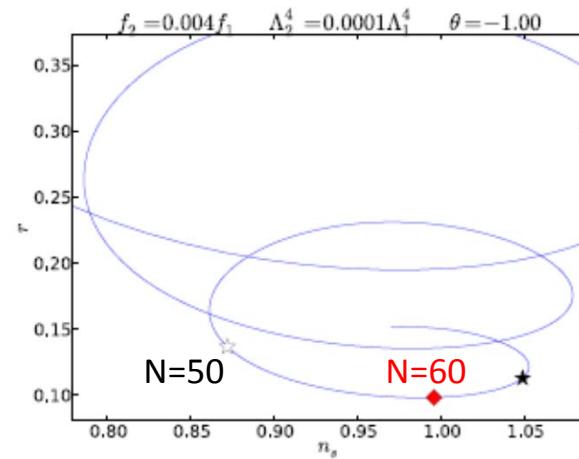
# Potential with modulations

Again, [Czerny, Takahashi]; [Czerny, TH, Takahashi]; [TH, Takahashi]; [Kobayashi, Takahashi]; [Czerny, Kobayashi, Takahashi]; [TH, Kobayashi, Seto, Yamaguchi]

- Potential studied independently regardless of WGC:

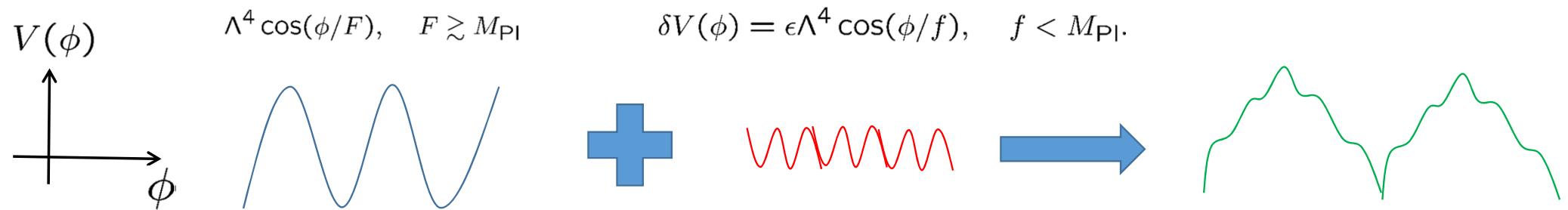


[Czerny, Kobayashi, Takahashi]  
1403.4589

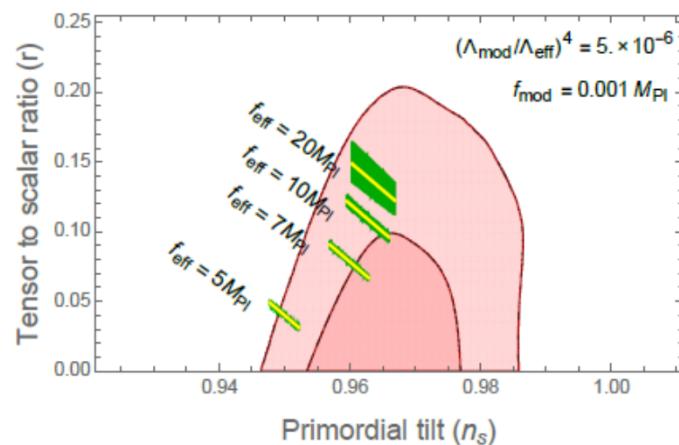


# Recent studies of potentials with modulation

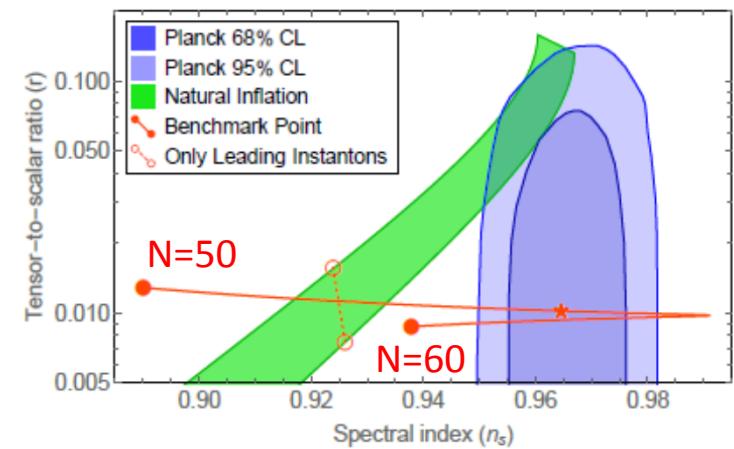
- Potential via WGC = Multi-natural inflation!:



[Choi et al.]  
1511.07201



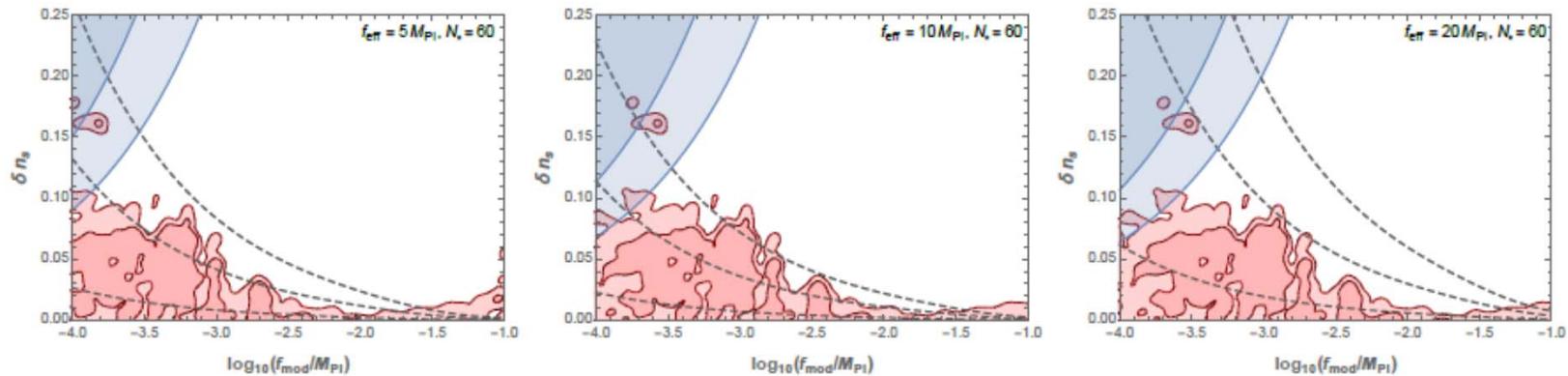
[Kappl et al.]  
1511.05560



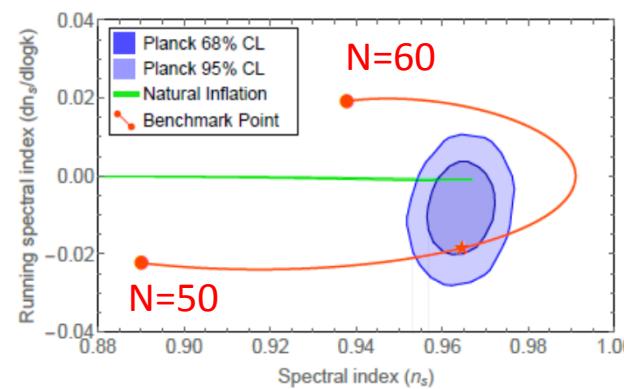
# Potential with modulations: running $n_s$

$$= \frac{dn_s(k)}{d \log(k)}$$

[Choi et al.]  
1511.07201



[Kappl et al.]  
1511.05560



## 4. Conclusion & discussion

# Conclusion

- Planck result can suggest a small  $r$  ( $< 0.11$ ).
  - Discrete symmetries control an inflation model;  $\exists$  multi-instantons.
  - UV completion: compactification periodicity and brane configuration:  
 $SL(2, \mathbb{Z}) \times (\text{periodicity}) \times (\mathbb{Z}_2)^2 + \text{constraint on torus area } (A/\alpha' \sim 2)$ .  
(preliminary result)
- General message:  
Compactification may help slow roll inflation due to discrete symmetries.

# Discussion

- Moduli stabilization during inflation required:

$$H_{\text{inf}} \sim \text{gravitino mass} < \text{Heavy moduli.}$$

Flux + racetrack (KL) model would help this issue.

Otherwise, slow roll inflation may be broken by a large inflaton mass via:

- Heavy moduli-inflaton mixing
- Quantum corrections from SUSY-breaking.

# Appendix

# The Weak Gravity Conjecture

[Slide from G. Shiu]

Arkani-Hamed et al. '06

- For bound states to decay, there must  $\exists$  a particle w/

$$\frac{q}{m} \geq "1" \equiv \frac{Q_{Ext}}{M_{Ext}}$$

**Strong-WGC:** satisfied by lightest charged particle

**Weak-WGC:** satisfied by any charged particle

