



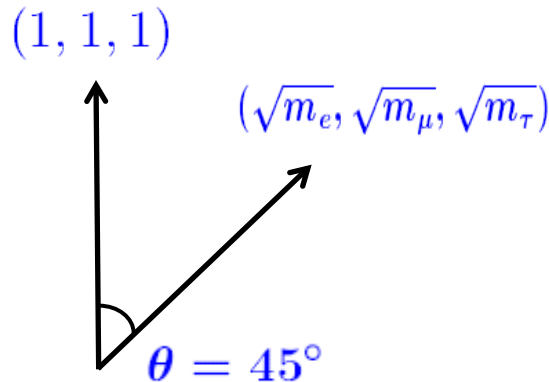
Family Gauge Symmetry as an Origin of Koide's Mass Formula and Charged Lepton Spectrum

Y. Sumino (Tohoku Univ.)

1. Introduction

Koide's mass formula

Koide '82



$$\frac{\sqrt{m_e} + \sqrt{m_\mu} + \sqrt{m_\tau}}{\sqrt{3(m_e + m_\mu + m_\tau)}} = \cos 45^\circ = \frac{1}{\sqrt{2}}$$

Charged lepton on-shell (pole) masses:

$$m_e = 0.510998910 \pm 0.000000013 \text{ MeV}$$

$$m_\mu = 105.658367 \pm 0.000004 \text{ MeV}$$

$$m_\tau = 1776.82 \pm 0.16 \text{ MeV}$$

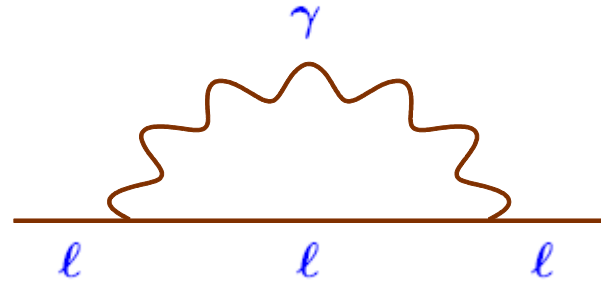
PDG '11

$$\Rightarrow \sqrt{2} \left[\frac{\sqrt{m_e} + \sqrt{m_\mu} + \sqrt{m_\tau}}{\sqrt{3(m_e + m_\mu + m_\tau)}} \right] = 1.000006 \pm 0.000007$$

7×10^{-6} accuracy !

Problem of QED correction

If *running masses* $\bar{m}_i(\mu)$ at $\mu \gg M_W$ satisfy Koide's relation, **QED corr.** violates the relation among the *pole masses*:



$$m_i^{\text{pole}} = \left[1 + \frac{\alpha}{\pi} \left\{ \frac{3}{4} \log \left(\frac{\mu^2}{\bar{m}_i(\mu)^2} \right) + 1 \right\} \right] \bar{m}_i(\mu)$$

$$\delta(\sqrt{2} \cos \theta) \sim 0.1\% \quad \text{130 times larger than exp. error !}$$

Problem against an idea, that a UV theory (beyond SM) predicts Koide's relation.

Rem: Corr. of the form $\text{const.} \times \bar{m}_i$ does not affect Koide's relation.

\Rightarrow QED corr. is indep. of μ

Other corr. (W,Z, Higgs, would-be Goldstone) are smaller than exp. error.

Interesting scenario to generate Koide's formula

Koide, et al.

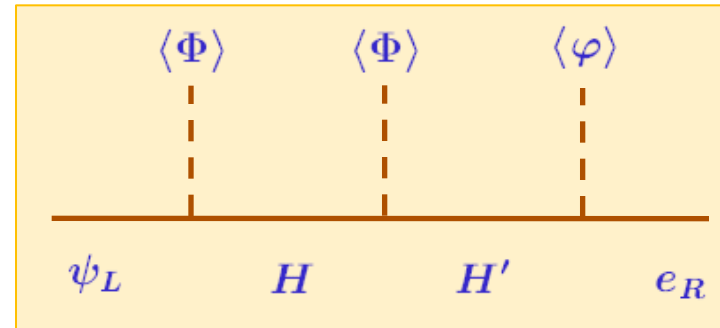
Charged lepton mass matrix

$$\mathcal{M}_e \propto \langle \Phi \rangle \langle \Phi \rangle \quad \text{with} \quad \langle \Phi \rangle = \begin{pmatrix} v_1(\mu) & 0 & 0 \\ 0 & v_2(\mu) & 0 \\ 0 & 0 & v_3(\mu) \end{pmatrix}$$

originating from effective higher-dimensional op.

$$\mathcal{O} = \frac{\kappa(\mu)}{\Lambda^2} \bar{\psi}_{Li} \Phi_{ik} \Phi_{kj} \varphi e_{Rj}$$

e.g. via see-saw mechanism \Rightarrow



$$\text{Minimize potential } V(\Phi) \Rightarrow \frac{v_1(\mu) + v_2(\mu) + v_3(\mu)}{\sqrt{3[v_1(\mu)^2 + v_2(\mu)^2 + v_3(\mu)^2]}} = \frac{1}{\sqrt{2}}$$

(However, no potential in previous models is protected by sym.)

In a similar scenario and EFT valid at $\mu < \Lambda$, we examine indication of Koide's formula and charged lepton spectrum.

We arrive at a specific family gauge symmetry.

☆ Plan of Talk

- Introduction
- Cancellation of QED corrections
- Charged lepton spectrum and family sym.
- A Model: EFT and Potential
predicting Koide's formula and charged lepton spectrum
- Summary and discussion

2. Cancellation of QED correction

We consider $U(3) \simeq SU(3) \times U(1)$ family gauge sym.

- Generators

$$\text{tr}(T^\alpha T^\beta) = \frac{1}{2} \delta^{\alpha\beta}, \quad T^\alpha = T^{\alpha\dagger} \quad T^0 = \frac{1}{\sqrt{6}} \mathbf{1}, \quad T^a = \frac{\lambda^a}{2}$$

$$0 \leq \alpha, \beta \leq 8 \quad 1 \leq a \leq 8$$

- Reps. $\psi_L : (3, 1), \quad e_R : (\bar{3}, -1), \quad \Phi : (3, 1), \quad \varphi : (1, 0)$

$$[\text{transf. } \psi_L \rightarrow U \psi_L, \quad e_R \rightarrow U^* e_R, \quad \Phi \rightarrow U \Phi ; \quad U = \exp(i\theta^\alpha T^\alpha)]$$

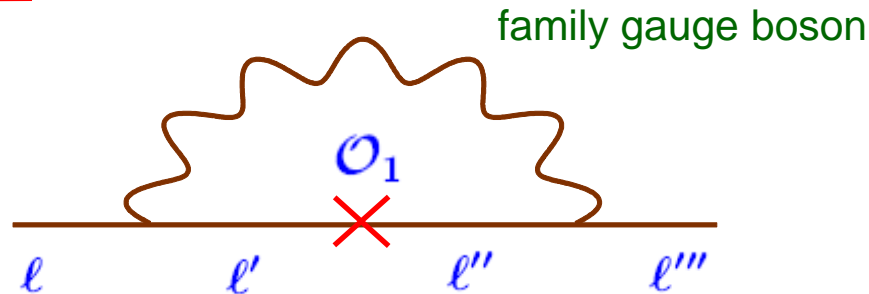
- Higher-dimensional op.

$$\mathcal{O}_1 = \frac{\kappa}{\Lambda^2} \bar{\psi}_L \Phi \Phi^T \varphi e_R \quad \left(\begin{array}{l} \text{Larger sym. } U(3) \times O(3): \\ \Phi \rightarrow U \Phi O^T, \quad O O^T = 1 \end{array} \right)$$

$\langle \Phi \rangle$ breaks $U(3)$ symmetry completely, and the spectrum of family gauge bosons is determined by it.

$$\langle \Phi \rangle = \begin{pmatrix} v_1(\mu) & 0 & 0 \\ 0 & v_2(\mu) & 0 \\ 0 & 0 & v_3(\mu) \end{pmatrix}$$

Rad. corr. by family gauge bosons has the same form as the QED corr. but with opposite sign:



$$\delta m_i^{\text{pole}} = -\frac{3\alpha_F}{8\pi} \left[\log \left(\frac{\mu^2}{v_i(\mu)^2} \right) + c \right] m_i(\mu), \quad m_i(\mu) = \frac{\kappa(\mu) v_{\text{EW}}}{\Lambda^2} v_i(\mu)^2$$

$v_i(\mu)$ defined from the minimum of 1-loop effective pot. of Φ in Landau gauge.

- If $\frac{v_1(\mu) + v_2(\mu) + v_3(\mu)}{\sqrt{3[v_1(\mu)^2 + v_2(\mu)^2 + v_3(\mu)^2]}} = \frac{1}{\sqrt{2}}$ is satisfied at tree-level, there is no $\mathcal{O}(\alpha_F)$ correction to this relation.
- The form of δm_i^{pole} is determined by multiplicative renormalization of \mathcal{O}_1 and by the sym. breaking pattern: $U(3) \rightarrow U(2) \rightarrow U(1) \rightarrow \text{nothing}$.
- If $\alpha = \frac{1}{4} \alpha_F$, δm_i^{pole} cancels QED corr. for arbitrary $\mu (> M_F)$

ψ_L and e_R in same rep. of SU(3) or O(3): $\psi_L : (3, Q), e_R : (3, Q')$

$$\mathcal{O}' \sim \frac{\alpha_F}{\pi} \times \kappa \bar{\psi}_{Li} \varphi e_{Ri} \times \frac{\langle \Phi \rangle_{jk} \langle \Phi \rangle_{kj}}{\Lambda^2} \Rightarrow (\delta m_e, \delta m_\mu, \delta m_\tau) \propto (1, 1, 1)$$

ψ_L and e_R in conjugate reps of SU(3): $\psi_L : (3, Q), e_R : (\bar{3}, Q')$

Multiplicative renormalization

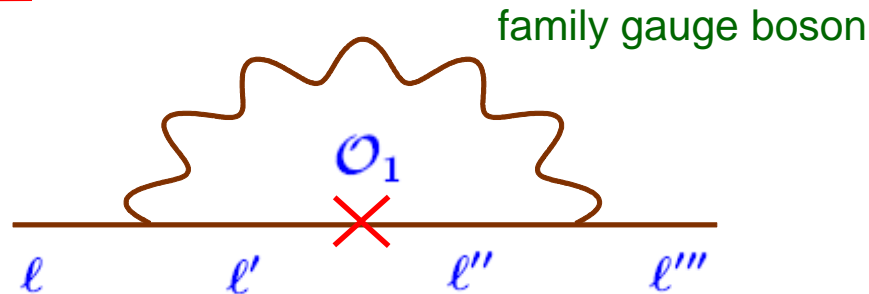
Other than in Landau gauge, each rad. corr. becomes quite intricate:

$\bar{m}_i \log \bar{m}_i$ corrections in $\bar{\psi}_L \not{p} Z_\psi \psi_L$ and $\bar{e}_R \not{p} Z_e e_R$

$\mathcal{O}(\alpha_F)$ corrections to the relation among v_i 's.



Rad. corr. by family gauge bosons has the same form as the QED corr. but with opposite sign:



$$\delta m_i^{\text{pole}} = -\frac{3\alpha_F}{8\pi} \left[\log \left(\frac{\mu^2}{v_i(\mu)^2} \right) + c \right] m_i(\mu), \quad m_i(\mu) = \frac{\kappa(\mu) v_{\text{EW}}}{\Lambda^2} v_i(\mu)^2$$

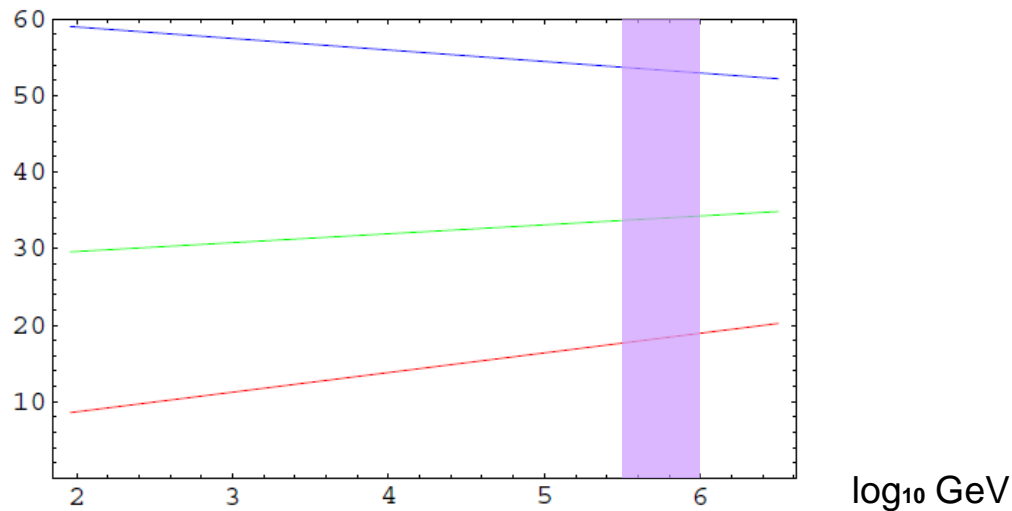
$v_i(\mu)$ defined from the minimum of 1-loop effective pot. of Φ in Landau gauge.

- If $\frac{v_1(\mu) + v_2(\mu) + v_3(\mu)}{\sqrt{3[v_1(\mu)^2 + v_2(\mu)^2 + v_3(\mu)^2]}} = \frac{1}{\sqrt{2}}$ is satisfied at tree-level, there is no $\mathcal{O}(\alpha_F)$ correction to this relation.
- The form of δm_i^{pole} is determined by multiplicative renormalization of \mathcal{O}_1 and by the sym. breaking pattern: $U(3) \rightarrow U(2) \rightarrow U(1) \rightarrow \text{nothing}$.
- If $\alpha = \frac{1}{4} \alpha_F$, δm_i^{pole} cancels QED corr. for arbitrary $\mu (> M_F)$

Speculation

$$\alpha(m_\tau) \approx \frac{1}{4} \alpha_F(M_F) \text{ within 1\% accuracy ?}$$

If $SU(2)_L$ and $U(3)_F$ are *unified* at around 10^3 TeV, the above relation would be satisfied, since $\sin^2 \theta_W \simeq \frac{1}{4}$.



Tuning required for the unification scale is about a factor of 3.

Charged lepton spectrum and $U(3) \times O(3)$ sym.

Rem:
$$\mathcal{O}_1 = \frac{\kappa}{\Lambda^2} \bar{\psi}_L \Phi \Phi^T \varphi e_R \quad \left(\begin{array}{l} U(3) \times O(3) \\ \Phi \rightarrow U\Phi O^T, \quad O O^T = 1 \end{array} \right)$$

Usually **difficult** to obtain, on top of Koide's relation, a hierarchical spectrum without fine tuning.

$$v_1 : v_2 : v_3 = \sqrt{m_e} : \sqrt{m_\mu} : \sqrt{m_\tau}$$

$$\frac{\sqrt{m_e} + \sqrt{m_\mu} + \sqrt{m_\tau}}{\sqrt{3(m_e + m_\mu + m_\tau)}} = \cos 45^\circ = \frac{1}{\sqrt{2}}$$

Nevertheless, assuming that Koide's relation is protected, and minimizing a $U(3) \times O(3)$ -inv. potential of Φ , realistic lepton spectrum consistent with experimental data is obtained.

A relation for Φ representing Koide's formula:

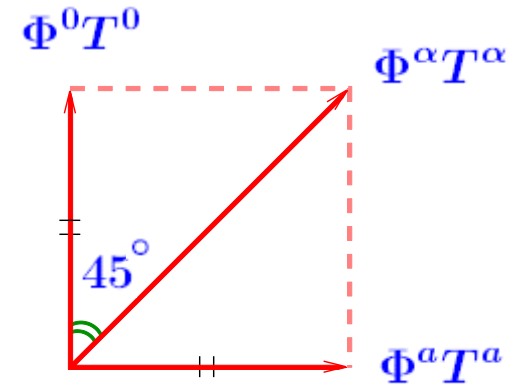
Let $\Phi = \Phi^\alpha T^\alpha$. $\text{tr}(T^\alpha T^\beta) = \frac{1}{2} \delta^{\alpha\beta}$

Then, if the condition

$$(\Phi^0)^2 = \Phi^a \Phi^a \quad ; \quad \Phi^\alpha \in \mathbb{R}$$

is satisfied, Koide's relation is satisfied by the eigenvalues of Φ .

Koide '90



With above constraint, and minimize

$$V(\Phi) = -\mu^2 \text{tr}(\Phi^\dagger \Phi) + \lambda \left[\text{tr}(\Phi^\dagger \Phi) \right]^2 + g_1 \text{tr}(\Phi^\dagger \Phi \Phi^\dagger \Phi) + g_2 \text{tr}(\Phi \Phi^T \Phi^* \Phi^\dagger)$$

If $1 \gg g_2 \gg g_1$, a realistic spectrum, consistent with experimental data, is obtained.

Important aspect

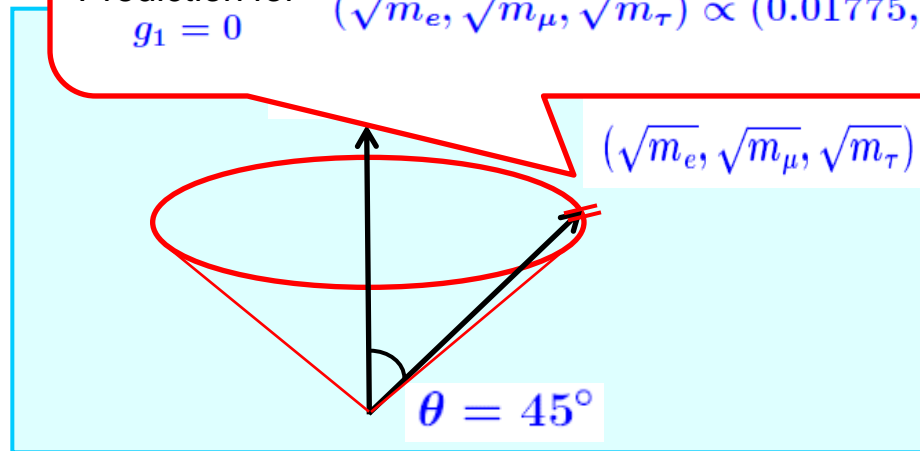
★ Cancellation of QED corr. with $\frac{\kappa}{\Lambda^2} \bar{\psi}_L \Phi \Phi^T \varphi e_R$, invariant under $U(3) \times O(3)$ family gauge symmetry.

★ Potential with the same sym. \longrightarrow realistic $m_e : m_\mu : m_\tau$ for $g_2 \gg g_1$ (provided **Koide's relation** is protected).

$$V_\Phi = -\mu^2 \Phi^{\alpha*} \Phi^\alpha + \lambda (\Phi^{\alpha*} \Phi^\alpha)^2 + g_1 \text{tr}(\Phi^\dagger \Phi \Phi^\dagger \Phi) + g_2 \text{tr}(\Phi \Phi^T \Phi^* \Phi^\dagger)$$

Exp. values $(\sqrt{m_e}, \sqrt{m_\mu}, \sqrt{m_\tau}) \propto (0.01647, 0.2369, 0.9714)$

Prediction for $g_1 = 0$ $(\sqrt{m_e}, \sqrt{m_\mu}, \sqrt{m_\tau}) \propto (0.01775, 0.2352, 0.9718)$



3. A Model: EFT and Potential predicting Koide's formula and charged lepton spectrum

We introduce a model with $U(3) \times O(3)$ sym. as an EFT valid at $\mu < \Lambda$.

Motivated by 9-dim. geometrical picture for Koide's relation and sym. of $V(\Phi)$, we assume $U(9)$ as sym. above the cut-off scale.

$$\begin{array}{ccc}
 \mu > \Lambda & U(9) & \Phi^\alpha \rightarrow U_9^{\alpha\beta} \Phi^\beta \\
 & \downarrow & \\
 \mu = \Lambda & U(3) \times O(3) & \Phi \rightarrow U \Phi O^T
 \end{array}
 \quad \text{cf. } \text{tr}(\Phi^\dagger \Phi) = \frac{1}{2} \Phi^{\alpha*} \Phi^\alpha$$

$$V(\Phi) = -\mu^2 \text{tr}(\Phi^\dagger \Phi) + \lambda \left[\text{tr}(\Phi^\dagger \Phi) \right]^2 + g_1 \text{tr}(\Phi^\dagger \Phi \Phi^\dagger \Phi) + g_2 \text{tr}(\Phi \Phi^T \Phi^* \Phi^\dagger)$$

Scalars $U(9)$ $\Phi^\alpha : (9, Q)$, $X^{\alpha\beta} : (45, Q')$

2nd-rank sym. tensor $X \rightarrow U_9 X U_9^T$
 unitary $X^\dagger X = 1_9$

$V(\Phi, X)$ with $U(3) \times O(3)$ sym. and sym. enhancement to $U(9)$.

In finite region of param. space, Koide's relation is satisfied by the eigenvalues of $\langle \Phi \rangle$.

Sketch of argument

$U(9)$ inv. potential

$$\begin{cases} V_\Phi = -\mu^2 \Phi^{\alpha*} \Phi^\alpha + \lambda (\Phi^{\alpha*} \Phi^\alpha)^2 + \dots \\ V_X = \text{const.} \quad \longleftarrow X \text{ is unitary} \\ V_{\Phi X} = \varepsilon_K |\Phi^\beta X^{\beta\gamma*} \Phi^\gamma|^2 + \dots \end{cases}$$

$U(3) \times O(3)$ inv. potential

$$\begin{cases} \tilde{V}_\Phi = g_1 \text{tr}(\Phi^\dagger \Phi \Phi^\dagger \Phi) + g_2 \text{tr}(\Phi \Phi^T \Phi^* \Phi^\dagger) + \dots \\ \tilde{V}_X = h_1 \text{tr}(T^\alpha T^\rho T^\beta T^\sigma) X^{\alpha\beta} X^{\rho\sigma*} + \dots \\ \tilde{V}_{\Phi X} = \dots \end{cases}$$

→ global minimum at $\langle X^{\alpha\beta} \rangle = \text{diag.}(-1, \overbrace{+1, \dots, +1}^8)$

→ $\Phi^\beta X^{\beta\gamma*} \Phi^\gamma = 0, (\Phi^\alpha = \Phi^{\alpha*})$

Koide's relation $(\Phi^0)^2 = \Phi^a \Phi^a$
 at $\mu = \Lambda$

$SU(9) \times U(1)$ inv. potential

$$\begin{cases} V_\Phi = -\mu^2 \Phi^{\alpha*} \Phi^\alpha + \lambda (\Phi^{\alpha*} \Phi^\alpha)^2 \\ V_X = \text{const.} \quad \longleftarrow X \text{ is unitary} \\ V_{\Phi X} = \varepsilon_K |\Phi^\beta X^{\beta\gamma*} \Phi^\gamma|^2 + \dots \end{cases}$$

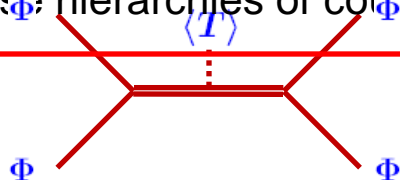
$U(3) \times O(3)$ inv. potential

$$\begin{cases} \tilde{V}_\Phi = g_1 \text{tr}(\Phi^\dagger \Phi \Phi^\dagger \Phi) + g_2 \text{tr}(\Phi \Phi^T \Phi^* \Phi^\dagger) \\ \tilde{V}_X = h_1 \text{tr}(T^\alpha T^\rho T^\beta T^\sigma) X^{\alpha\beta} X^{\rho\sigma*} + \dots \\ \tilde{V}_{\Phi X} = \dots \end{cases}$$

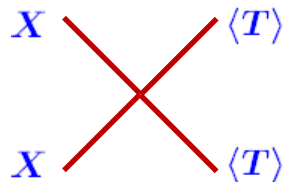
• $h_1 \gg g_1, g_2 \Rightarrow$ Koide's relation $\frac{v_1(\mu) + v_2(\mu) + v_3(\mu)}{\sqrt{3[v_1(\mu)^2 + v_2(\mu)^2 + v_3(\mu)^2]}} = \frac{1}{\sqrt{2}}$

• $h_1 \gg g_2 \gg g_1 \Rightarrow$ Realistic charged lepton spectrum. $v_1 : v_2 : v_3 = \sqrt{m_e} : \sqrt{m_\mu} : \sqrt{m_\tau}$
 ... $SU(9) \times U(1) \rightarrow U(3) \times O(3)$ occurs via $\frac{\langle T^{\alpha\beta} \rangle}{M}$

These hierarchies of couplings are consistent with assumed sym. and sym. enhancement.



$$\Rightarrow \frac{\langle T^{\alpha\beta} \rangle}{M} \Phi^\alpha \Phi^\beta \Phi^{\rho*} \Phi^{\sigma*} \sim g_2 \text{tr}(\Phi \Phi^T \Phi^* \Phi^\dagger)$$



$$\Rightarrow h_1 \sim \frac{\langle T \rangle^2}{\Lambda^2} \gtrsim \mathcal{O}(1)$$

4. Summary and discussion

Summary

- ★ $U(3) \times O(3)$ gauge sym. has a unique property w.r.t. radiative corr. to Koide's mass formula.

In the case $\alpha(m_\tau) \approx \frac{1}{4} \alpha_F(M_F)$, QED corr. is cancelled.

- Multiplicative renormalization of $\frac{\kappa}{\Lambda^2} \bar{\psi}_L \Phi \Phi^T \varphi e_R$ and $\langle \Phi \rangle = \begin{pmatrix} v_1(\mu) & 0 & 0 \\ 0 & v_2(\mu) & 0 \\ 0 & 0 & v_3(\mu) \end{pmatrix}$
- Sym. breaking $U(3) \rightarrow U(2) \rightarrow U(1) \rightarrow \text{nothing}$.
- With tree-level Koide's relation $\frac{v_1(\mu) + v_2(\mu) + v_3(\mu)}{\sqrt{3 [v_1(\mu)^2 + v_2(\mu)^2 + v_3(\mu)^2]}} = \frac{1}{\sqrt{2}}$ at $\mu (> M_F)$

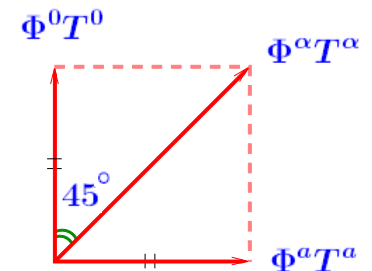
- ★ V_Φ with $U(3) \times O(3)$ sym. \Rightarrow realistic lepton spectrum

- ★ A model EFT with enhanced sym. $U(9)$ at $\mu < \Lambda$

\Rightarrow Koide's formula Φ, X

Boundary cond. on potential parameters at $\mu = \Lambda$.

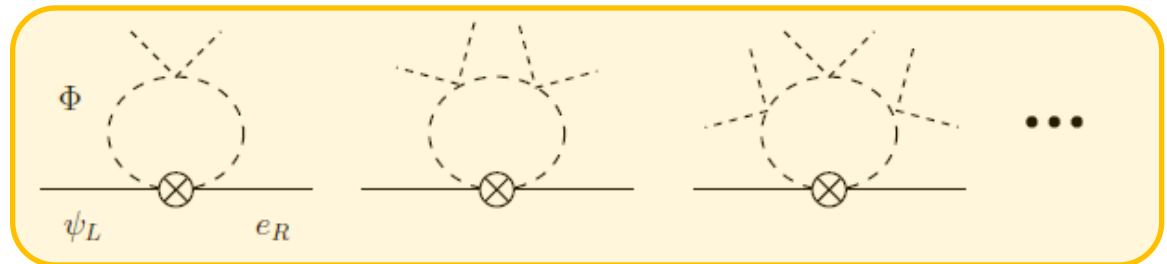
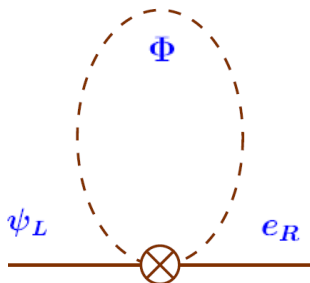
Consistent with sym. and sym. enhancement.



Problems

- Quark+neutrino sectors & anomaly cancellation
- $O(3)$ sym. breaking
- $\langle \Phi \rangle$ cannot be brought to diagonal form by $U(3) \times O(3)$ transf.
 \Rightarrow e.g. Inclusion of another field $\frac{\kappa}{\Lambda^2} \bar{\psi}_L \Phi \Phi^T \varphi e_R \rightarrow \frac{\kappa}{\Lambda^3} \bar{\psi}_L \Phi \Sigma \Phi^T \varphi e_R$
- Unification of $SU(2)_L \times U(3)$ vs. embedding $U(3) \times O(3)$ into $SU(9) \times U(1)$
- $\frac{v_3}{\Lambda} \lesssim 0.1 \Rightarrow \frac{1}{\Lambda^n}$ corr. at tree level.
- Stability of small VEVs: $\langle \varphi \rangle, \langle X \rangle \ll \Lambda$
- Model(s) at $\mu > \Lambda$.

Virtue: a cross-check



\Rightarrow No corr. to Koide's formula

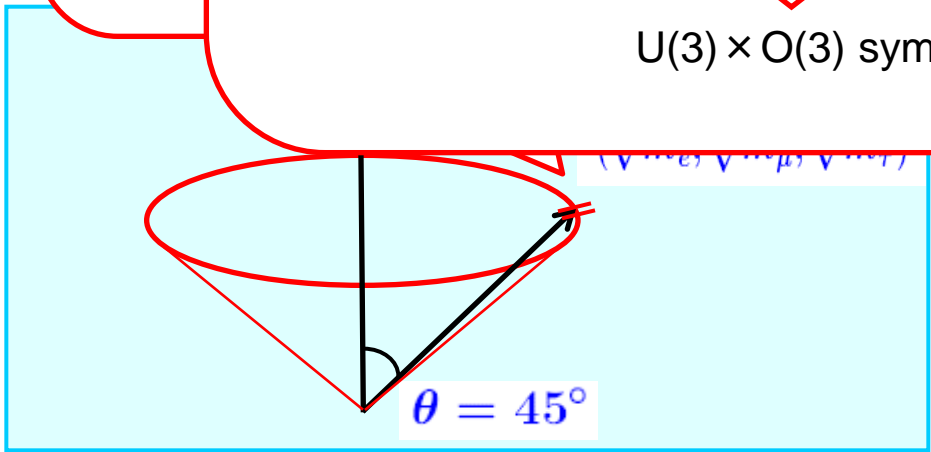
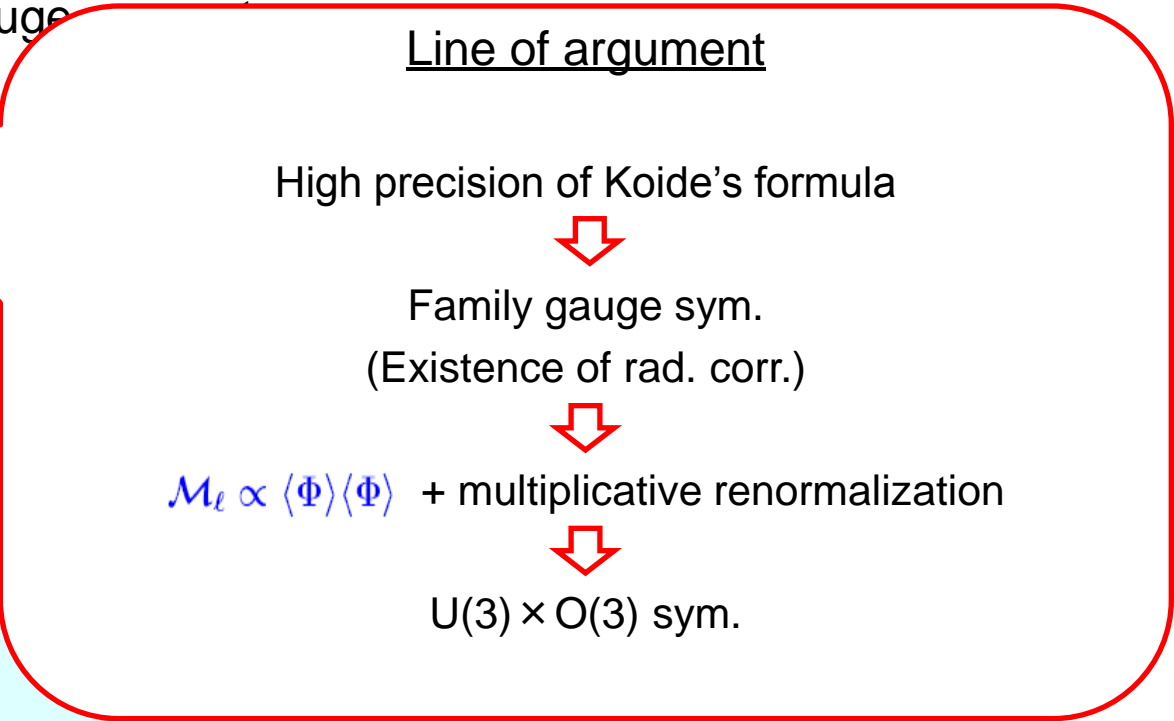
Non-trivial aspects indep. of model details

★ Cancellation of QED corr. with $\frac{\kappa}{\Lambda^2} \bar{\psi}_L \Phi \Phi^T \varphi e_R$, invariant under $U(3) \times O(3)$ family gauge

★ Potential with g_1 fine tuning, pr Exp.

$V_\Phi = -\mu^2 \Phi^\dagger \Phi + g_1 (\Phi^\dagger \Phi)^2$
 Prediction $g_1 =$

c.f. If the m_e



$$SU(4) \rightarrow U(3) \simeq SU(3) \times U(1)$$

$$\text{tr}(T^\alpha T^\beta) = \frac{1}{2} \delta^{\alpha\beta}$$

$$\frac{1}{2\sqrt{6}} \begin{pmatrix} -1 & & & \\ & -1 & & \\ & & -1 & \\ & & & +3 \end{pmatrix}$$

$$4 \rightarrow (3, -1/2)$$

$$6 \rightarrow (3, +1) \oplus (\bar{3}, -1)$$

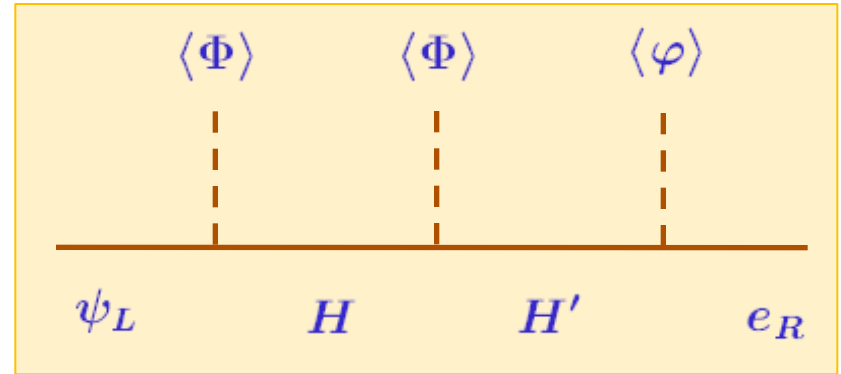
since

$$T^0 = \frac{1}{\sqrt{6}} \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix}$$

$$\mathcal{O} = \frac{\kappa(\mu)}{\Lambda^2} \bar{\psi}_{Li} \Phi_{ik} \Phi_{kj} \varphi e_{Rj}$$



$$m_i(\mu) = \frac{\kappa(\mu) v_{EW}}{\Lambda^2} v_i(\mu)^2$$



$$\mathcal{L} = y_1 \bar{\psi}_{Li} \Phi_{ij} H_{Rj} + M \bar{H}_{Ri} H_{Li} + y_2 \bar{H}_{Li} \Phi_{ij} H'_{Rj} + M' \bar{H}'_{Ri} H'_{Li} + y_3 \bar{H}'_{Li} \varphi e_{Ri} + (\text{h.c.})$$

For instance, in the case that $v_3/M' \gtrsim 3$,

$y_1, y_2, y_3 \approx 1$ and $v_{ew}/M' < 3 \times 10^{-3}$, one finds, by computing the mass eigenvalues,* that the largest correction to the lepton spectrum eq. (7) arises from the operator $-\frac{y_1^3 y_2^3 y_3}{2M^3 M'^3} \bar{\psi}_L \Phi^6 \varphi e_R$; its contribution to the tau mass is $\delta m_\tau/m_\tau = (m_\tau/v_{ew})^2 \approx 5 \times 10^{-5}$. This translates to a correction to Koide's relation of 3×10^{-6} ,

* Since the values of m_τ and v_{ew} are known, once we choose the values of $v_3/M' (\gtrsim 3)$ and $y_1, y_2, y_3 (\approx 1)$, the value of $v_3/M (\lesssim 0.03)$ will be fixed. Then the mass eigenvalues corresponding to the SM charged leptons can be computed in series expansion in the small parameters v_{ew}/M' , v_i/M and $v_i^2/(MM') = \sqrt{2}m_i/v_{ew}$.